

CMM

**U.S. District Court
Southern District of Florida (Miami)
CIVIL DOCKET FOR CASE #: 1:09-cv-20423-ASG**

United States of America v. UBS AG
Assigned to: Judge Alan S. Gold
Cause: 26:7402 IRS: Petition to Enforce IRS Summons

Date Filed: 02/19/2009
Jury Demand: None
Nature of Suit: 870 Taxes
Jurisdiction: U.S. Government Plaintiff

Date Filed	#	Docket Text
07/02/2009	90	RESPONSE in Opposition re 86 MOTION in Limine to <i>Exclude Portions of the Declarations of Daniel Reeves and Barry Shott</i> filed by United States of America. (Euliss, Richard) (Entered: 07/02/2009)
07/01/2009	89	TRANSCRIPT of Telephonic Status Conference held on 02.23.09 before Judge Alan S. Gold. Court Reporter: Joseph A. Millikan, Phone: 305-523-5588. 1-13 pages. The transcript may be viewed at the court public terminal or purchased from Mr. Millikan before the deadline for Release of Transcript Restriction. After that date it may be obtained from Mr. Millikan or through PACER. Redaction Request due 7/22/2009. Redacted Transcript Deadline set for 8/3/2009. Release of Transcript Restriction set for 9/29/2009. (jm) (Entered: 07/01/2009)
06/30/2009	88	ORDER granting 80 Motion to Withdraw as Attorney. Attorney Kemba Eneas Walden terminated Notice of Termination delivered by US Mail to Kemba Walden.. Signed by Judge Alan S. Gold on 6/30/2009. (ail) (Entered: 07/01/2009)
06/30/2009	87	MOTION for Disclosure <i>UBS AG's Motion Seeking an Order Requiring the Government to Disclose the Number of Accounts Already Identified Through Alternative Means</i> by UBS AG. (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D - Proposed Order)(Mead, Gordon) (Entered: 06/30/2009)
06/30/2009	86	MOTION in Limine to <i>Exclude Portions of the Declarations of Daniel Reeves and Barry Shott</i> by UBS AG. (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C - Proposed Order)(Mead, Gordon) (Entered: 06/30/2009)
06/30/2009	85	MOTION to Strike 46 Report Regarding, 67 MOTION Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP by United States of America. Responses due by 7/20/2009

		(Attachments: # 1 Text of Proposed Order)(Euliss, Richard) (Entered: 06/30/2009)
06/30/2009	84	MOTION to Strike 45 Affidavit of <i>Philip R. West (Section II)</i> by United States of America. Responses due by 7/20/2009 (Attachments: # 1 Text of Proposed Order)(Euliss, Richard) (Entered: 06/30/2009)
06/30/2009	83	MEMORANDUM in Support re 41 Memorandum in Opposition, 57 MOTION for Leave to File <i>Amicus Brief</i> , 1 Petition (Complaint) to Enforce IRS Summons, 48 Memorandum of Law in Support of Petition to Enforce "John Doe" Summons by United States of America. (Attachments: # 1 Appendix Appendix of Materials Filed in Support of Memorandum for Enforcement) (Gibson, Stuart) (Entered: 06/30/2009)
06/23/2009	82	ORDER granting 81 Unopposed MOTION Participate in July 13 Hearing by The Government of Switzerland. Signed by Judge Alan S. Gold on 6/23/2009. (ail) (Entered: 06/24/2009)
06/19/2009	81	Unopposed MOTION Participate in July 13 Hearing by The Government of Switzerland. (Attachments: # 1 Text of Proposed Order)(Dotterrer, John) (Entered: 06/19/2009)
06/17/2009	80	Unopposed MOTION to Withdraw as Attorney by Kemba Eneas Walden. by The Government of Switzerland. Responses due by 7/6/2009 (Attachments: # 1 Text of Proposed Order)(Torres, Jenny) (Entered: 06/17/2009)
06/15/2009	79	ORDER Reserving ruling on 67 Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP by UBS AG.. Signed by Judge Alan S. Gold on 6/15/2009. (ail) (Entered: 06/16/2009)
06/11/2009	78	TRANSCRIPT of Telephonic Hearing held on 06.10.09 before Judge Alan S. Gold. Court Reporter: Joseph A. Millikan, Phone: 305-523-5588. 1-21 pages. The transcript may be viewed at the court public terminal or purchased from Mr. Millikan before the deadline for Release of Transcript Restriction. After that date, it may be obtained from Mr. Millikan or through PACER. Redaction Request due 7/2/2009. Redacted Transcript Deadline set for 7/13/2009. Release of Transcript Restriction set for 9/9/2009. (jm) (Entered: 06/11/2009)
06/10/2009	77	TEXT Minute Entry for proceedings held before Judge Alan S. Gold: Telephonic Motion Hearing held on 6/10/2009 re 67 MOTION Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP filed by UBS AG. Court Reporter: Joseph Millikan, Phone: 305-523-5588 (jh) (Entered: 06/11/2009)
06/09/2009	76	NOTICE by United States of America re 56 Order Notice of Position of United States in Response to Order Response (Document 56) (Gibson, Stuart) (Entered: 06/09/2009)

06/04/2009	75	CERTIFICATE OF SERVICE by UBS AG re 74 Reply to Response to Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP (Stearns, Eugene) (Entered: 06/04/2009)
06/04/2009	74	REPLY to Response to Motion re 67 MOTION Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP filed by UBS AG. (Stearns, Eugene) (Entered: 06/04/2009)
06/02/2009	73	AMENDED ORDER Setting Telephonic Status Conference. Signed by Judge Alan S. Gold on 6/2/2009. (ail) (Entered: 06/03/2009)
05/28/2009	72	ORDER granting 63 Motion for Limited Appearance, Consent to Designation and Request to Electronically Receive Notices of Electronic Filings. Signed by Judge Alan S. Gold on 5/28/2009. (vjk) (Entered: 05/29/2009)
05/28/2009	71	ORDER granting 68 Unopposed MOTION to Adopt/Join 57 MOTION for Leave to File Amicus Brief, Unopposed MOTION for Leave to Appear Amicus. Signed by Judge Alan S. Gold on 5/28/2009. (ail) (Entered: 05/29/2009)
05/28/2009	70	RESPONSE in Opposition re 67 MOTION Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP filed by United States of America. (Euliss, Richard) (Entered: 05/28/2009)
05/26/2009	69	ORDER Setting Telephonic Status Conference: Status Conference set for 6/10/2009 03:15 PM before Judge Alan S. Gold.. Signed by Judge Alan S. Gold on 5/26/2009. (ail) (Entered: 05/27/2009)
05/26/2009	68	Unopposed MOTION to Adopt/Join 57 MOTION for Leave to File <i>Amicus Brief</i> , Unopposed MOTION for Leave to Appear <i>Amicus</i> (Responses due by 6/12/2009) by european banking federation. (Attachments: # 1 Text of Proposed Order re Motion of EBF to Appear Amicus and Adopt Amicus Brief of Institute of International Bankers, et al.)(Perwin, Joel) (Entered: 05/26/2009)
05/22/2009	67	MOTION Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP by UBS AG. (Attachments: # 1 Exhibit 05/21/09 Declaration of Charles W. Laurence of Alixpartners, LLP in Support of UBS' Opposition to Petition to Enforce The John Doe Summons, # 2 Exhibit proposed Order Granting UBS AG'S Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP)(Stearns, Eugene) (Entered: 05/22/2009)
05/20/2009	66	ORDER granting leave to take deposition re 51 Notice (Other) filed by United States of America (see order for details). Signed by Judge Alan S. Gold on 5/20/2009. (dg) (Entered: 05/21/2009)
05/20/2009	65	TEXT Minute Entry for proceedings held before Judge Alan S. Gold: Telephonic Status Conference held on 5/20/2009 to finalize ruling on the proposed deposition of Isabelle Romy. Court Reporter: Joseph Millikan, Phone: 305-523-5588 (jh) (Entered: 05/20/2009)

05/20/2009	64	Clerks Notice of Docket Correction and Instruction to Filer re 62 Statement filed by United States of America. Error - Wrong Event Selected ;. Instruction to Filer - In the future, please select the proper event, i.e. Memorandum in Support or Memorandum of Law. It is not necessary to refile this document. (ls) (Entered: 05/20/2009)
05/19/2009	62	Statement of: Petitioner in Support of Request to Take Timely Deposition of Respondent's Expert Witness by United States of America re 55 Order,, Set/Reset Hearings,. (Gibson, Stuart) (Entered: 05/19/2009)
05/19/2009	61	CERTIFICATE OF SERVICE by UBS AG of <i>Statement Concerning Deposition of Professor Isabelle Romy</i> (Stearns, Eugene) (Entered: 05/19/2009)
05/19/2009	60	Statement of: of UBS AG Concerning Deposition of Professor Isabelle Romy by UBS AG. (Stearns, Eugene) (Entered: 05/19/2009)
05/19/2009	59	Statement of: Joint Statement on Proposed Deposition of Isabelle Romy by United States of America re 55 Order,, Set/Reset Hearings,. (Gibson, Stuart) (Entered: 05/19/2009)
05/18/2009	63	MOTION for Limited Appearance, Consent to Designation, and Request to Electronically Receive Notices of Electronic Filings for Owen C. Pell and Kathleen M. Pakenham, Filing Fee \$150, Receipt #1001055-56. (cw) (Entered: 05/19/2009)
05/18/2009	58	ORDER granting 57 Motion for Leave to File amicus curiae brief; requesting response. Signed by Judge Alan S. Gold on 5/18/2009. (tb) (Entered: 05/19/2009)
05/15/2009	57	MOTION for Leave to File <i>Amicus Brief</i> by Institute of International Bankers. (Attachments: # 1 Text of Proposed Order re Motion to File Amicus Brief, # 2 Amicus Brief)(Perwin, Joel) (Entered: 05/15/2009)
05/09/2009	54	TRANSCRIPT of Telephonic Status Conference held on 05.07.09 before Judge Alan S. Gold. Court Reporter: Joseph A. Millikan, Phone: 305-523-5588. 1-16 pages. The transcript may be viewed at the court public terminal or purchased from Mr. Millikan before the deadline for Release of Transcript Restriction. After that date it may be obtained from Mr. Millikan or through PACER. Redaction Request due 6/1/2009. Redacted Transcript Deadline set for 6/9/2009. Release of Transcript Restriction set for 8/7/2009. (jm) (Entered: 05/09/2009)
05/08/2009	56	ORDER Response. Signed by Judge Alan S. Gold on 5/8/2009. (ail) (Entered: 05/11/2009)
05/08/2009	55	ORDER Evidentiary Hearing And Related Matters: Telephonic Status Conference set for 5/20/2009 02:00 PM before Judge Alan S. Gold.and Evidentiary Hearing set for 7/13/2009 before Judge Alan S. Gold. SEE Order for further Details. Signed by Judge Alan S. Gold on 5/8/2009. (ail) (Entered: 05/11/2009)

05/07/2009	53	TEXT Minute Entry for proceedings held before Judge Alan S. Gold: Telephone Status Conference held on 5/7/2009 to discuss issues relating to the proposed evidentiary hearing. Court Reporter: Joseph Millikan, Phone: 305-523-5588 (jh) (Entered: 05/07/2009)
05/06/2009	52	ORDER Regarding Call-in Procedures for telephonic conference set for May 7, 2009 at 5:00 p.m. Signed by Judge Alan S. Gold on 5/6/2009. (lms) (Entered: 05/06/2009)
05/05/2009	51	NOTICE by United States of America of <i>Position on Evidentiary Hearing, Discovery and Related Matters</i> (Gibson, Stuart) (Entered: 05/05/2009)
05/04/2009	50	Clerks Notice of Docket Correction re 18 Order,, Set/Reset Deadlines/Hearings,. Error(s): Document Restricted ; Correction - Original document restricted due to an error SEE DE 17 for Image. (ail) (Entered: 05/04/2009)
04/30/2009	49	CERTIFICATE OF SERVICE by UBS AG re 41 Memorandum in Opposition, 43 Affidavit, 45 Affidavit, 44 Affidavit, 46 Report Regarding. See image DE 47 (lk) (Entered: 05/01/2009)
04/30/2009	48	MEMORANDUM OF LAW re 1 Petition to Enforce IRS Summons <i>Brief of Amicus Curiae</i> by The Government of Switzerland. (Attachments: # 1 Appendix)(Dotterrer, John) (Entered: 04/30/2009)
04/30/2009	47	CERTIFICATE of Counsel re 41 Memorandum in Opposition, 43 Affidavit, 45 Affidavit, 44 Affidavit, 42 Affidavit, 46 Report Regarding <i>Certificate of Service</i> by Gordon McRae Mead, Jr on behalf of UBS AG (Mead, Gordon) (Entered: 04/30/2009)
04/30/2009	46	REPORT REGARDING Opposition to Petition to Enforce John Doe Summons by Alix Partners, LLP by UBS AG. (Mead, Gordon) (Entered: 04/30/2009)
04/30/2009	45	AFFIDAVIT signed by : Philip R. West. re 41 Memorandum in Opposition to <i>Petition to Enforce John Doe Summons</i> by UBS AG. (Mead, Gordon) (Entered: 04/30/2009)
04/30/2009	44	AFFIDAVIT signed by : Ralph M. Levene. re 41 Memorandum in Opposition to <i>Petition to Enforce John Doe Summons</i> by UBS AG. (Mead, Gordon) (Entered: 04/30/2009)
04/30/2009	43	AFFIDAVIT signed by : Oliver Bartholet. re 41 Memorandum in Opposition to <i>Petition to Enforce John Doe Summons</i> by UBS AG. (Mead, Gordon) (Entered: 04/30/2009)
04/30/2009	42	AFFIDAVIT signed by : Professor Isabelle Romy. re 41 Memorandum in Opposition to <i>Petition to Enforce John Doe Summons</i> by UBS AG. (Mead, Gordon) (Entered: 04/30/2009)
04/30/2009	41	MEMORANDUM in Opposition re 1 Petition to Enforce IRS Summons by UBS AG. (Mead, Gordon) (Entered: 04/30/2009)

04/24/2009	40	ORDER re 38 Motion for Clarification. Signed by Judge Alan S. Gold on 4/24/2009. (ail) (Entered: 04/27/2009)
04/21/2009	39	ORDER denying as moot 34 Motion for Leave to File Excess Pages; granting 36 Motion for Leave to File Excess Pages. Signed by Judge Alan S. Gold on 4/21/2009. (ail) (Entered: 04/21/2009)
04/21/2009	38	Unopposed MOTION for clarification of <i>Page Limitation</i> by The Government of Switzerland. Responses due by 5/8/2009 (Attachments: # 1 Text of Proposed Order)(Dotterrer, John) (Entered: 04/21/2009)
04/17/2009	36	Unopposed MOTION for Leave to File Excess Pages by UBS AG. (Attachments: # 1 Text of Proposed Order)(Mead, Gordon) Modified on 4/21/2009 (ls). (Entered: 04/17/2009)
04/17/2009	35	NOTICE of Attorney Appearance by Geri Fischman on behalf of UBS AG (Fischman, Geri) (Entered: 04/17/2009)
04/16/2009	37	ORDER granting 31 Motion for Limited Appearance, Consent to Designation and Request to Electronically Receive Notices of Electronic Filings. Signed by Judge Alan S. Gold on 4/16/2009. (ail) (Entered: 04/17/2009)
04/15/2009	34	Unopposed MOTION for Leave to File Excess Pages by UBS AG. (Attachments: # 1 Text of Proposed Order)(Stearns, Eugene) Modified on 4/17/2009 (ls). (Entered: 04/15/2009)
04/14/2009	33	ORDER granting 25 Motion for Leave to Appear ; granting 25 Motion for Leave to File; denying 28 Motion for Extension of Time to File. Signed by Judge Alan S. Gold on 4/13/2009. (ail) (Entered: 04/15/2009)
04/14/2009	32	RESPONSE in Opposition re 28 MOTION for Extension of Time to File <i>Amicus Curiae of Joseph A. Buaiz, Jr.</i> filed by United States of America. (Euliss, Richard) (Entered: 04/14/2009)
04/13/2009	31	MOTION for Limited Appearance, Consent to Designation, and Request to Electronically Receive Notices of Electronic Filings for Francis P. Barron and David N. Greenwald, Filing Fee \$150, Receipt #999029-030. (cw) (Entered: 04/14/2009)
04/08/2009	30	ORDER granting 26 Motion for Limited Appearance, Consent to Designation and Request to Electronically Receive Notices of Electronic Filings ; granting 27 Motion for Limited Appearance, Consent to Designation and Request to Electronically Receive Notices of Electronic Filings. Signed by Judge Alan S. Gold on 4/8/2009. (ail) (Entered: 04/10/2009)
04/07/2009	29	BRIEF of Amicus Curiae Joseph A. Buaiz Jr. pro se In Support of Respondent by Joseph Buaiz, Jr (ail) (Entered: 04/08/2009)
04/07/2009	28	MOTION for Extension of Time to File Brief Amicus Curiae of Joseph A. Buaiz Jr. In Support of Respondent by Joseph Buaiz, Jr. (ail) (Entered: 04/07/2009)

		04/08/2009)
04/06/2009	25	Unopposed MOTION for Leave to Appear <i>Amicus Curiae</i> (Responses due by 4/23/2009), Unopposed MOTION for Leave to File <i>Amicus Curiae Brief</i> by The Government of Switzerland. (Attachments: # 1 Text of Proposed Order Proposed Order)(Dotterrer, John) (Entered: 04/06/2009)
04/03/2009	27	MOTION for Limited Appearance, Consent to Designation, and Request to Electronically Receive Notices of Electronic Filings for Stephan E. Becker, Filing Fee \$75.00, Receipt #998557. (cw) (Entered: 04/06/2009)
04/03/2009	26	MOTION for Limited Appearance, Consent to Designation, and Request to Electronically Receive Notices of Electronic Filings for Kemba Eneas Walden, Filing Fee \$75.00, Receipt #998559. (cw) (Entered: 04/06/2009)
04/03/2009	24	NOTICE of Attorney Appearance by Jenny Torres on behalf of The Government of Switzerland (Torres, Jenny) (Entered: 04/03/2009)
04/03/2009	23	NOTICE of Attorney Appearance by John C. Dotterrer on behalf of The Government of Switzerland (Dotterrer, John) (Entered: 04/03/2009)
03/30/2009	22	NOTICE by United States of America re 2 Affidavit (Attachments: # 1 Affidavit Amended Declaration of Daniel Reeves)(Gibson, Stuart) (Entered: 03/30/2009)
03/03/2009	21	ORDER granting 19 Motion for Limited Appearance, Consent to Designation and Request to Electronically Receive Notices of Electronic Filings. Signed by Judge Alan S. Gold on 3/3/2009. (ail) (Entered: 03/03/2009)
02/27/2009	20	NOTICE of ExParte Communication. Signed by Judge Alan S. Gold on 2/27/2009. (ail) (Entered: 03/02/2009)
02/24/2009	18	Oral Argument set for 7/13/2009 09:00 AM in Miami Division before Judge Alan S. Gold., Telephonic Status Conference set for 5/7/2009 05:00 PM before Judge Alan S. Gold. SEE ORDER DE 17 for Futher Details. Signed by Judge Alan S. Gold on 2/24/2009. (ail) Attached Image Restricted on 5/4/2009 (ail). (Entered: 02/24/2009)
02/24/2009	17	ORDER TO SHOW CAUSE Show Cause Response due by 4/30/2009.SEE ORDER for DAtes and Deadlines. Signed by Judge Alan S. Gold on 2/24/2009. (ail) Modified on 2/24/2009 (ail). (Entered: 02/24/2009)
02/23/2009	15	TEXT Minute Entry for proceedings held before Judge Alan S. Gold: Telephone Status Conference held on 2/23/2009. Scheduling order with dates and deadlines to follow. Court Reporter: Joseph Millikan, Phone: 305-523-5588 (jh) (Entered: 02/24/2009)
02/23/2009	14	NOTICE of Attorney Appearance by Richard D. Euliss on behalf of United States of America (Euliss, Richard) (Entered: 02/23/2009)

02/20/2009	19	MOTION for Limited Appearance, Consent to Designation. and Request to Electronically Receive Notices of Electronic Filings for John F. Savarese, Ralph M. Levene and Martin J.E. Arms, Filing Fee \$225, Receipt #995529-995531. (cw) (Entered: 02/24/2009)
02/20/2009	16	ORDER re 11 Order, Set Hearings. Signed by Judge Alan S. Gold on 2/20/2009. (ail) (Entered: 02/24/2009)
02/20/2009	13	CLERK'S NOTICE CONVERTING CASE TYPE MC to CV for statistical purpose. (yc) (Entered: 02/20/2009)
02/20/2009	12	RESPONSE/REPLY to 10 Statement of Background Information filed by United States of America. (Gibson, Stuart) (Entered: 02/20/2009)
02/20/2009	11	ORDER Setting Telephonic Status Conference for 2/23/2009 05:00 PM before Judge Alan S. Gold.). Signed by Judge Alan S. Gold on 2/20/2009. (ail) (Entered: 02/20/2009)
02/20/2009	10	Statement of: Background Information for the Court's Consideration Prior to the Scheduled Status Conference by UBS AG. (Mead, Gordon) (Entered: 02/20/2009)
02/20/2009	9	ORDER SETTING Telephonic Status Conference for 2/23/2009 05:00 PM before Judge Alan S. Gold. Signed by Judge Alan S. Gold on 2/20/2009. (wc) (Entered: 02/20/2009)
02/19/2009	8	NOTICE of Attorney Appearance by Gordon McRae Mead, Jr on behalf of UBS AG (Mead, Gordon) (Entered: 02/19/2009)
02/19/2009	7	NOTICE of Attorney Appearance by Eugene E. Stearns on behalf of UBS AG (Stearns, Eugene) (Entered: 02/19/2009)
02/19/2009	6	NOTICE of Attorney Appearance by Ana Hirfield Barnett on behalf of UBS AG (Barnett, Ana) (Entered: 02/19/2009)
02/19/2009	5	NOTICE by United States of America of Related Case (Gibson, Stuart) (Entered: 02/19/2009)
02/19/2009	4	NOTICE OF UNAVAILABILITY by United States of America for dates of 3/27/09 - 4/8/09 (Gibson, Stuart) (Entered: 02/19/2009)
02/19/2009	3	Declaration of Barry B. Shott signed by : Barry B. Shott. re 1 Petition to Enforce IRS Summons by United States of America. (asl) (Entered: 02/19/2009)
02/19/2009	2	Declaration of Daniel Reeves signed by : Daniel Reeves. re 1 Petition to Enforce IRS Summons by United States of America. (Attachments: # 1 Exhibits 5 thru 21, # 2 Exhibits 22 thru 31)(asl) (Entered: 02/19/2009)
02/19/2009	1	PETITION to Enforce John Doe Summons, filed by United States of America. (Attachments: # 1 Order to Show Cause)(asl) (Entered: 02/19/2009)

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