

**U.S. District Court**  
**Southern District of New York (Foley Square)**  
**CRIMINAL DOCKET FOR CASE #: 1:15-cr-00385-AJN All Defendants**

Case title: USA v. Buell et al

Magistrate judge case number: 1:14-mj-02339-UA

Date Filed: 06/18/2015

Date Filed	#	Docket Text
10/20/2014	<u>1</u>	COMPLAINT as to Joseph P. Dwyer (1), Ronald G. Buell (2). In Violation of 18 U.S.C. 371, 666, 1030, 1341 and 2 (Signed by Magistrate Judge James L. Cott) (dif) [1:14-mj-02339-UA] (Entered: 10/24/2014)
10/22/2014		Arrest of Ronald G. Buell. (dif) [1:14-mj-02339-UA] (Entered: 10/24/2014)
10/22/2014	7	CJA 23 Financial Affidavit by Ronald G. Buell. (Signed by Judge Magistrate Judge James L. Cott) (CJA Attorney Ronald Garnett Appointed) (dif) [1:14-mj-02339-UA] (Entered: 10/24/2014)
10/22/2014		Attorney update in case as to Ronald G. Buell. Attorney Ronald Leon Garnett for Ronald G. Buell added.. (dif) [1:14-mj-02339-UA] (Entered: 10/24/2014)
10/22/2014	<u>8</u>	Minute Entry for proceedings held before Magistrate Judge James L. Cott: Initial Appearance as to Ronald G. Buell held on 10/22/2014., Deft Appears with CJA Attorney Ronald Garnett and AUSA Hadassa Waxman and Brooke Cucinella for the government. AGREED CONDITIONS OF RELEASE. \$100,000 PRB; 2 FRP'S; Travel Limited to SDNY/EDNY; Surrender Travel Documents (&No New Applications); Regular Pretrial Supervision; Surrender Firearms and Possess No Firearms or Other Weapons During Pending of This Case; Deft to Be Released on Own Signature; Remaining Conditions to Be Met by 10/29/14; ( Preliminary Hearing set for 11/21/2014 at 10:00 AM before Judge Unassigned.) (dif) Modified on 10/24/2014 (dif). Modified on 10/24/2014 (dif). [1:14-mj-02339-UA] (Entered: 10/24/2014)
10/22/2014	<u>9</u>	AGREEMENT TO FORFEIT PROPERTY (OTHER THAN REAL PROPERTY) by Ronald G. Buell. Personal Recognizance Bond in the amount of \$ 100,000 PRB, 2 FRP'S; Travel Limited to SDNY/EDNY; Surrender Travel Documents (&No New Applications); Regular Pretrial Supervision; Surrender Firearms and Do Not Possess Any Other Dangerous Weapons During Pending of This Case; Deft to Be Released on Own Signature; Remaining Conditions to Be Met by 10/29/14 (dif) [1:14-mj-02339-UA] (Entered: 10/24/2014)
10/22/2014	<u>12</u>	CJA 20 as to Ronald Buell: Appointment of Attorney Ronald Garnett for Ronald Buell. (Appointed by Magistrate Judge James L. Cott on 10/22/14)(ade) [1:14-mj-02339-UA] (Entered: 11/05/2014)
10/22/2014		Arrest of Joseph P. Dwyer. (dif) [1:14-mj-02339-UA] (Entered: 10/24/2014)
10/22/2014	<u>3</u>	NOTICE OF ATTORNEY APPEARANCE: Retained Attorney Steve Zissou appearing for Joseph P. Dwyer. (dif) [1:14-mj-02339-UA] (Entered: 10/24/2014)
10/22/2014	<u>4</u>	Minute Entry for proceedings held before Magistrate Judge James L. Cott: Initial Appearance as to Joseph P. Dwyer held on 10/22/2014., Deft Appears with Retained Attorney Steve Zissou and AUSA Hadassa Waxman and Brooke Cucinella for the government. AGREED CONDITIONS OF RELEASE. \$500,000 PRB; 2 FRP'S; Travel Limited to SDNY/EDNY; Surrender Travel Documents (&No New Applications); Regular Pretrial Supervision; Surrender Firearms and Do Not Possess Any Firearms or Other Dangerous Weapons During Pending of This Case; Deft to Be Released on Own Signature; Remaining Conditions to Be Met by 10/29/14; ( Preliminary Hearing set for 11/21/2014 at 10:00 AM before Judge Unassigned.) (dif) [1:14-mj-02339-UA] (Entered: 10/24/2014)

10/22/2014	<u>5</u>	AGREEMENT TO FORFEIT PROPERTY (OTHER THAN REAL PROPERTY) by Joseph P. Dwyer. Personal Recognizance Bond in the amount of \$ 500,000 PRB, 2 FRP'S; Travel Limited to SDNY/EDNY; Surrender Travel Documents (&No New Applications); Regular Pretrial Supervision; Surrender Firearms and Do Not Possess Any Firearms or Other Dangerous Weapons During Pending of This Case; Deft to Be Released on Own Signature; Remaining Conditions to Be Met by 10/29/14 (dif) [1:14-mj-02339-UA] (Entered: 10/24/2014)
10/30/2014	<u>10</u>	<b>FILING ERROR – ELECTRONIC FILING IN NON-ECF CASE – FIRST LETTER</b> by Joseph P. Dwyer addressed to Magistrate Judge James L. Cott from Steve Zissou dated 10/30/2014 re: Travel (Zissou, Steve) Modified on 10/30/2014 (ka). [1:14-mj-02339-UA] (Entered: 10/30/2014)
10/30/2014		<b>***NOTE TO ATTORNEY TO RE-FILE DOCUMENT – NON-ECF CASE ERROR. Note to Attorney Steve Zissou as to Joseph P. Dwyer: to MANUALLY RE-FILE Document Letter, Document No. 10. This case is not ECF. (ka)</b> [1:14-mj-02339-UA] (Entered: 10/30/2014)
11/03/2014	<u>11</u>	ENDORSED LETTER as to Joseph P. Dwyer addressed to Honorable Magistrate Judge James L. Cott from Steve Zissou, Esq dated 10/30/2014 re: USA v Joseph Dwyer, 14 Mag 2339. Mr. Zissou respectfully request that the conditions of release be modified that the travel restrictions be expanded to include the tri-state area of New York, New Jersey and Connecticut. Application Granted, So Ordered. (Signed by Magistrate Judge Henry B. Pitman on 11/3/2014)(dif) [1:14-mj-02339-UA] (Entered: 11/04/2014)
11/06/2014	<u>13</u>	<b>FILING ERROR – ELECTRONIC FILING IN NON-ECF CASE – NOTICE OF ATTORNEY APPEARANCE:</b> Sally Jm Butler appearing for Joseph P. Dwyer. Appearance Type: Retained. (Butler, Sally) Modified on 11/7/2014 (ka). [1:14-mj-02339-UA] (Entered: 11/06/2014)
11/07/2014		<b>***NOTE TO ATTORNEY TO RE-FILE DOCUMENT – NON-ECF CASE ERROR. Note to Attorney Sally Jm Butler as to Joseph P. Dwyer: to MANUALLY RE-FILE Document Notice of Attorney Appearance, Document No. 13. This case is not ECF. (ka)</b> [1:14-mj-02339-UA] (Entered: 11/07/2014)
11/17/2014	<u>14</u>	<b>FILING ERROR – ELECTRONIC FILING IN NON-ECF CASE – NOTICE OF ATTORNEY APPEARANCE:</b> Henry Edward Mazurek appearing for Ronald G. Buell. Appearance Type: Retained. (Mazurek, Henry) Modified on 11/17/2014 (ka). [1:14-mj-02339-UA] (Entered: 11/17/2014)
11/17/2014		<b>***NOTE TO ATTORNEY TO RE-FILE DOCUMENT – NON-ECF CASE ERROR. Note to Attorney Henry Edward Mazurek as to Ronald G. Buell: to MANUALLY RE-FILE Document Notice of Attorney Appearance, Document No. 14. This case is not ECF. (ka)</b> [1:14-mj-02339-UA] (Entered: 11/17/2014)
11/17/2014	<u>15</u>	ENDORSED LETTER as to Ronald G. Buell addressed to Magistrate Judge Kevin Nathaniel Fox from Henry E. Mazurek, Esq dated 11/17/2014 re: USA v Ronald Buell, 14 Mag 2339. Mr. Mazurek respectfully seeks a temporary modification of Mr. Buell pretrial release conditions to allow him to travel to Orlando, Florida with his daughter to attend a college athletic recruiting conference. Specifically, Mr. Buell seeks authorization to travel to Orlando, Florida from Wednesday, November 19 and returning on Monday, November 24, 2014. Application granted. In addition to providing his pretrial services officer with details itinerary of his air travel and residence in Florida, Mr. Buell must submit proof of his daughter's college athletic recruiting conference to his assigned pretrial officer prior to November 19, 2014. SO ORDERED. (Signed by Magistrate Judge Kevin Nathaniel Fox on 11/17/2014)(dif) [1:14-mj-02339-UA] (Entered: 11/19/2014)
11/21/2014	<u>16</u>	AFFIRMATION of Brooke Cucinella in Support by USA as to Ronald G. Buell, the government is requesting a 30-day continuance until 12/22/14. (jbo) [1:14-mj-02339-UA] (Entered: 11/24/2014)
11/21/2014	<u>17</u>	ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Ronald G. Buell. Time excluded from 11/21/14 until 12/22/14. (Signed by Magistrate Judge Ronald L. Ellis on 11/21/14)(jbo) [1:14-mj-02339-UA] (Entered: 11/24/2014)

11/21/2014	<u>18</u>	AFFIRMATION of Brooke Cucinella in Support by USA as to Joseph P. Dwyer, the government is requesting a 30-day continuance until 12/22/14. (jbo) [1:14-mj-02339-UA] (Entered: 11/24/2014)
11/21/2014	<u>19</u>	ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Joseph P. Dwyer. Time excluded from 11/21/14 until 12/22/14. (Signed by Magistrate Judge Ronald L. Ellis on 11/21/14)(jbo) [1:14-mj-02339-UA] (Entered: 11/24/2014)
12/22/2014	<u>21</u>	AFFIRMATION of Brooke Cucinella in Support by USA as to Ronald G. Buell, the government is requesting a 30-day continuance until 1/21/15. (jbo) [1:14-mj-02339-UA] (Entered: 12/30/2014)
12/22/2014		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Ronald G. Buell re: <u>17</u> Order to Continue – Interest of Justice. Time excluded from 12/22/14 until 1/21/15. Follows oral order of 12/22/14. PD. See affirmation of AUSA Cucinella. (Signed by Magistrate Judge James C. Francis on 12/22/14)(jbo) [1:14-mj-02339-UA] (Entered: 12/30/2014)
12/22/2014	<u>20</u>	AFFIRMATION of Brooke Cucinella in Support by USA as to Joseph P. Dwyer, the government is requesting a 30-day continuance until 1/21/15. (jbo) [1:14-mj-02339-UA] (Entered: 12/30/2014)
12/22/2014		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Joseph P. Dwyer re: <u>19</u> Order to Continue – Interest of Justice. Time excluded from 12/22/14 until 1/21/15. Follows oral order of 12/22/14. PD. See affirmation of AUSA Cucinella. (Signed by Magistrate Judge James C. Francis on 12/22/14)(jbo) [1:14-mj-02339-UA] (Entered: 12/30/2014)
01/21/2015	<u>22</u>	AFFIRMATION of Brooke Cucinella in Support by USA as to Ronald G. Buell, the government is requesting a 30-day continuance until 2/20/15. (jbo) [1:14-mj-02339-UA] (Entered: 01/23/2015)
01/21/2015		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Ronald G. Buell re: <u>17</u> Order to Continue – Interest of Justice. Time excluded from 1/21/15 until 2/20/15. Follows oral order of 1/21/15. PD. (Signed by Magistrate Judge Frank Maas on 1/21/15)(jbo) [1:14-mj-02339-UA] (Entered: 01/23/2015)
01/21/2015	<u>23</u>	AFFIRMATION of Brooke Cucinella in Support by USA as to Joseph P. Dwyer, the government is requesting a 30-day continuance until 2/20/15. (jbo) [1:14-mj-02339-UA] (Entered: 01/23/2015)
01/21/2015		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Joseph P. Dwyer re: <u>19</u> Order to Continue – Interest of Justice. Time excluded from 1/21/15 until 2/20/15. Follows oral order of 1/21/15. PD. (Signed by Magistrate Judge Frank Maas on 1/21/15)(jbo) [1:14-mj-02339-UA] (Entered: 01/23/2015)
02/20/2015	<u>26</u>	AFFIRMATION of Brooke Cucinella in Support by USA as to Ronald G. Buell, the government is requesting a 30-day continuance until 3/23/15. (jbo) [1:14-mj-02339-UA] (Entered: 02/24/2015)
02/20/2015		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Ronald G. Buell re: <u>17</u> Order to Continue – Interest of Justice. Time excluded from 2/20/15 until 3/23/15. Follows oral order of 2/20/15. See 2/20/15 Cucinella affirmation. (Signed by Magistrate Judge Henry B. Pitman on 2/20/15)(jbo) [1:14-mj-02339-UA] (Entered: 02/24/2015)
02/20/2015	<u>24</u>	<b>FILING ERROR – ELECTRONIC FILING IN NON-ECF CASE – SECOND MOTION to Modify Conditions of Release . Document filed by Joseph P. Dwyer. (Zissou, Steve) Modified on 2/20/2015 (ka). Modified on 2/20/2015 (ka). [1:14-mj-02339-UA] (Entered: 02/20/2015)</b>
02/20/2015		<b>***NOTE TO ATTORNEY TO RE-FILE DOCUMENT – NON-ECF CASE ERROR. Note to Attorney Steve Zissou as to Joseph P. Dwyer: to MANUALLY RE-FILE Document Letter Motion, Document No. 24. This case is not ECF. (ka) [1:14-mj-02339-UA] (Entered: 02/20/2015)</b>
02/20/2015	<u>25</u>	ENDORSED LETTER as to Joseph P. Dwyer addressed to Magistrate Judge Henry B. Pitman from Steve Zissou, Esq dated 2/20/2015 re: USA v Joseph Dwyer, 14 Mag 2339. Mr. Zissou respectfully request that the Court modify Mr. Dwyer's bail

		conditions to allow him to travel within the continental United States, this modification will allow him to accompany his minor children to various competitive sporting events throughout the year. Application Granted, So Ordered. (Signed by Magistrate Judge Henry B. Pitman on 2/20/2015)(dif) [1:14-mj-02339-UA] (Entered: 02/20/2015)
02/20/2015	<u>27</u>	AFFIRMATION of Brooke Cucinella in Support by USA as to Joseph P. Dwyer, the government is requesting a 30-day continuance until 3/23/15. (jbo) [1:14-mj-02339-UA] (Entered: 02/24/2015)
02/20/2015		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Joseph P. Dwyer re: <u>19</u> Order to Continue – Interest of Justice. Time excluded from 2/20/15 until 3/23/15. Follows oral order of 2/20/15. See 2/20/15 Cucinella affirmation. (Signed by Magistrate Judge Henry B. Pitman on 2/20/15)(jbo) [1:14-mj-02339-UA] (Entered: 02/24/2015)
03/23/2015	<u>29</u>	AFFIRMATION of Hadassa Waxman in Support by USA as to Ronald G. Buell, the government is requesting a 30-day continuance until 4/22/15. (jbo) [1:14-mj-02339-UA] (Entered: 03/24/2015)
03/23/2015		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Ronald G. Buell re: <u>17</u> Order to Continue – Interest of Justice. Time excluded from 3/23/15 until 4/22/15. Follows oral order of 3/23/15. PD. (Signed by Magistrate Judge Gabriel W. Gorenstein on 3/23/15)(jbo) [1:14-mj-02339-UA] (Entered: 03/24/2015)
03/23/2015	<u>28</u>	AFFIRMATION of Hadassa Waxman in Support by USA as to Joseph P. Dwyer, the government is requesting a 30-day continuance until 4/22/15. (jbo) [1:14-mj-02339-UA] (Entered: 03/24/2015)
03/23/2015		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Joseph P. Dwyer re: <u>19</u> Order to Continue – Interest of Justice. Time excluded from 3/23/15 until 4/22/15. Follows oral order of 3/23/15. PD. (Signed by Magistrate Judge Gabriel W. Gorenstein on 3/23/15)(jbo) [1:14-mj-02339-UA] (Entered: 03/24/2015)
04/03/2015	<u>30</u>	ENDORSED LETTER as to Ronald G. Buell addressed to Magistrate Judge James L. Cott from Henry E. Mazurek, Esq dated 4/2/2015 re: USA v Ronald Buell, 14 Mag 2339. Mr. Mazurek respectfully request that the Court modify Mr. Buell's bail conditions to allow him to travel to on Monday, April 6, 2015, to Providence, Rhode Island with his daughter and wife to visit Brown University on a College recruiting tour. Application Granted, So Ordered. (Signed by Magistrate Judge James L. Cott on 4/3/2015)(dif) [1:14-mj-02339-UA] (Entered: 04/06/2015)
04/15/2015	<u>31</u>	ENDORSED LETTER as to Ronald G. Buell addressed to Magistrate Judge Sarah Netburn from defense counsel Henry Mazurek dated 4/14/15 re: I write to respectfully request a temporary modification of the conditions of Mr. Buell's pretrial release to permit his travel on April 16, 2015 from his home in Kings Park, NY to Towson, MD to visit his two sons, both of whom are students at Towson University. Additionally, Mr. Buell respectfully requests permission to travel from Towson, MD to Conway, SC to visit Coastal Carolina University with his daughter, who is a high school junior. Mr. Buell plans to return to New York on April 19, 2015. ENDORSEMENT: Application Granted. SO ORDERED. (Signed by Magistrate Judge Sarah Netburn on 4/15/15)(jbo) [1:14-mj-02339-UA] (Entered: 04/16/2015)
04/22/2015		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Ronald G. Buell re: <u>17</u> Order to Continue – Interest of Justice. Time excluded from 4/22/15 until 5/22/15. Follows oral order of 4/22/15. (Signed by Magistrate Judge Ronald L. Ellis on 4/22/15)(ajc) [1:14-mj-02339-UA] (Entered: 04/23/2015)
04/22/2015	<u>33</u>	AFFIRMATION of AUSA Hadassa Waxman in Support by USA as to Joseph P. Dwyer, therefore the Government is requesting a 30-day continuance until 5/22/15. (ajc) [1:14-mj-02339-UA] (Entered: 04/23/2015)
04/22/2015		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Joseph P. Dwyer re: <u>19</u> Order to Continue – Interest of Justice. Time excluded from 4/22/15 until 5/22/15. Follows oral order of 4/22/15. (Signed by Magistrate Judge Ronald

		L. Ellis on 4/22/15)(ajc) [1:14-mj-02339-UA] (Entered: 04/23/2015)
04/23/2015	<u>32</u>	AFFIRMATION of AUSA Hadassa Waxman in Support by USA as to Ronald G. Buell, therefore the Government is requesting a 30-day continuance until 5/22/15. (ajc) [1:14-mj-02339-UA] (Entered: 04/23/2015)
05/22/2015	<u>35</u>	AFFIRMATION of Brooke Cucinella in Support by USA as to Ronald G. Buell, the government is requesting a 30-day continuance until 6/22/15. (jbo) [1:14-mj-02339-UA] (Entered: 05/26/2015)
05/22/2015		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Ronald G. Buell re: <u>17</u> Order to Continue – Interest of Justice. Time excluded from 5/22/15 until 6/22/15. Follows oral order of 5/22/15. For plea discussions. (Signed by Magistrate Judge Kevin Nathaniel Fox on 5/22/15)(jbo) [1:14-mj-02339-UA] (Entered: 05/26/2015)
05/22/2015	<u>34</u>	AFFIRMATION of Brooke Cucinella in Support by USA as to Joseph P. Dwyer, the government is requesting a 30-day continuance until 6/22/15. (jbo) [1:14-mj-02339-UA] (Entered: 05/26/2015)
05/22/2015		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Joseph P. Dwyer re: <u>19</u> Order to Continue – Interest of Justice. Time excluded from 5/22/15 until 6/22/15. Follows oral order of 5/22/15. For plea discussions. (Signed by Magistrate Judge Kevin Nathaniel Fox on 5/22/15)(jbo) [1:14-mj-02339-UA] (Entered: 05/26/2015)
06/10/2015	<u>36</u>	ENDORSED LETTER as to Ronald G. Buell addressed to Magistrate Judge James L. Cott from Henry E. Mazurek, Esq dated 6/10/2015 re: USA v Ronald Buell, 14 Mag 2339. Mr. Mazurek respectfully request that the Court modify Mr. Buell's bail conditions to permit him to travel to Amherst College from Friday, June 12, and returning on Monday, June 15, 2015. Application Granted, So Ordered. (Signed by Magistrate Judge James L. Cott on 6/10/2015)(dif) [1:14-mj-02339-UA] (Entered: 06/11/2015)
06/10/2015	<u>37</u>	NOTICE OF INTENT TO FILE an Information by U.S.A. as to Ronald G. Buell. (jbo) (Entered: 06/18/2015)
06/18/2015	<u>38</u>	INFORMATION (Felony) filed as to Ronald G. Buell (1) count(s) 1. (jbo) (Entered: 06/18/2015)
06/18/2015	<u>39</u>	WAIVER OF INDICTMENT by Ronald G. Buell. (jbo) (Entered: 06/18/2015)
06/18/2015		Minute Entry for proceedings held before Magistrate Judge Gabriel W. Gorenstein: Arraignment as to Ronald G. Buell (1) Count 1 held on 6/18/2015. Plea entered by Ronald G. Buell Not Guilty. Defendant present with attorney Henry Mazurek. AUSA Hadassa Waxman present for the government. Defendant arraigned and pleads Not Guilty. Conference before District Judge on 7/2/15. Speedy Trial Time excluded until 7/2/15. (jbo) (Entered: 06/18/2015)
06/18/2015	<u>40</u>	(S1) SUPERSEDING INFORMATION (Felony) filed as to Joseph P. Dwyer (2) count(s) 1. (jbo) (Entered: 06/18/2015)
06/18/2015	<u>41</u>	WAIVER OF INDICTMENT by Joseph P. Dwyer. (jbo) (Entered: 06/18/2015)
06/18/2015		Minute Entry for proceedings held before Magistrate Judge Gabriel W. Gorenstein: Arraignment as to Joseph P. Dwyer (2) Count 1 held on 6/18/2015. Plea entered by Joseph P. Dwyer Not Guilty. Defendant present with attorney Elizabeth Macedonio. AUSA Hadassa Waxman present for the government. Defendant arraigned and pleads Not Guilty. Conference before District Judge on 7/2/15. Speedy Trial Time excluded until 7/2/15. (jbo) (Entered: 06/18/2015)
06/18/2015		Case Designated ECF as to Joseph P. Dwyer, Ronald G. Buell. (jbo) (Entered: 06/18/2015)