

U.S. District Court
Southern District of Florida (Miami)
CIVIL DOCKET FOR CASE #: 1:09-cv-20423-ASG

United States of America v. UBS AG
Assigned to: Judge Alan S. Gold
Cause: 26:7402 IRS: Petition to Enforce IRS Summons

Date Filed: 02/19/2009
Date Terminated: 08/12/2009
Jury Demand: None
Nature of Suit: 870 Taxes
Jurisdiction: U.S. Government Plaintiff

Date Filed	#	Docket Text
08/12/2009	<u>132</u>	Order Canceling Evidentiary Hearing;Administratively Closing Case. Signed by Judge Alan S. Gold on 8/12/2009. (ail) (Entered: 08/13/2009)
08/12/2009	<u>131</u>	TRANSCRIPT of Telephonic Status Conference held on 08.12.09 before Judge Alan S. Gold. Court Reporter: Joseph A. Millikan, Phone: 305-523-5588. 1-6 pages. The transcript may be viewed at the court public terminal or purchased from Mr. Millikan before the deadline for Release of Transcript Restriction. After that date it may be obtained either from Mr. Millikan or through PACER. Redaction Request due 9/2/2009. Redacted Transcript Deadline set for 9/14/2009. Release of Transcript Restriction set for 11/10/2009. (jm) (Entered: 08/12/2009)
08/12/2009	130	PAPERLESS Minute Entry for proceedings held before Judge Alan S. Gold: Telephone Conference held on 8/12/2009 to discuss status of settlement. The parties announced they have an initial agreement and requested time for final form. The parties moved ore tenus to cancel the hearing set for 08/17/09. The parties will be filing a stipulation of dismissal. Court Reporter: Joseph Millikan, Phone: 305-523-5588 (jh) (Entered: 08/12/2009)
08/07/2009	<u>129</u>	TRANSCRIPT of Telephonic Status Conference held on 08.07.09 before Judge Alan S. Gold. Court Reporter: Joseph A. Millikan, Phone: 305-523-5588. 1-8 pages. The transcript may be viewed at the court public terminal or purchased from Mr. Millikan before the deadline for Release of Transcript Restriction. After that date it may be obtained either from Mr. Millikan or through PACER. Redaction Request due 8/28/2009. Redacted Transcript Deadline set for 9/8/2009. Release of Transcript Restriction set for 11/5/2009. (jm) (Entered: 08/07/2009)
08/07/2009	<u>128</u>	ORDER setting Evidentiary Hearing set for 8/17/2009 09:00 AM in Miami Division before Judge Alan S. Gold. Telephone Status Conference set for 8/12/2009 09:00 AM in Miami Division before Judge Alan S. Gold. Parties calling from within the USA shall access the conference by calling 1-866-208-0348. Parties calling from outside the USA shall call 1-702-495-1050. All parties shall refer to Conference ID 24475200.. Signed by Judge Alan S. Gold on 8/7/2009. (jh) (Entered: 08/07/2009)
08/07/2009	127	PAPERLESS Minute Entry for proceedings held before Judge Alan S. Gold: Telephone Status Conference held on 8/7/2009 regarding status of settlement. The parties moved ore tenus to continue the hearing presently set for 08/10/09 at 9:00 AM. The request for continuance is granted and the hearing is reset to 08/17/09 at 9:00 AM. A further telephone status conference regarding settlement is set for 08/12/09 at 9:00 AM. Court order to follow with telephone numbers and conference ID. Court Reporter: Joseph Millikan, Phone: 305-523-5588 (jh) (Entered: 08/07/2009)

08/07/2009	<u>126</u>	ORDER Setting TELEPHONIC status conference for August 7, 2009 at 11:30 a.m. Call-in # for within the USA 1-866-208-0348 AND from outside of the USA call 1-702-495-1050. ALL callers shall reference CONFERENCE ID # 22405988. Signed by Judge Alan S. Gold on 8/7/2009. (lms) (Entered: 08/07/2009)
08/06/2009	<u>125</u>	ORDER denying <u>124</u> Motion for Leave to File Amicus Curiae Brief. Signed by Judge Alan S. Gold on 8/4/2009. (vp) (Entered: 08/06/2009)
08/06/2009	<u>124</u>	MOTION for Leave to File Brief by Swiss Investors Protection Association. (dg) (Entered: 08/06/2009)
08/05/2009	<u>123</u>	NOTICE of filing letter to the Court by Carlos A. Carrion (ail) (Entered: 08/06/2009)
08/04/2009	<u>122</u>	ORDER DENYING MOTION FOR LEAVE TO FILE AMICUS CURIAE BRIEF filed by Swiss Investors Protection Association. Signed by Judge Alan S. Gold on 8/4/2009. (wc) (Entered: 08/05/2009)
08/04/2009	<u>121</u>	ORDER Denying Motion for Leave to File Amicus Curiae Brief. Signed by Judge Alan S. Gold on 8/4/2009. (ail) (Entered: 08/05/2009)
07/31/2009	<u>120</u>	ORDER Resetting Evidentiary Hearing for 8/10/2009 09:00 AM before Judge Alan S. Gold; Setting Status Conference for 8/7/2009 08:45 AM in Miami Division before Judge Alan S. Gold.. Signed by Judge Alan S. Gold on 7/31/2009. (ail) (Entered: 08/03/2009)
07/31/2009	<u>119</u>	TRANSCRIPT of Telephonic Status Conference held on 07.31.09 before Judge Alan S. Gold. Court Reporter: Joseph A. Millikan, Phone: 305-523-5588. 1-6 pages. The transcript may be viewed at the court public terminal or purchased from Mr. Millikan before the deadline for Release of Transcript Restriction. After that date it may be obtained either from Mr. Millikan or through PACER. Redaction Request due 8/21/2009. Redacted Transcript Deadline set for 8/31/2009. Release of Transcript Restriction set for 10/29/2009. (jm) (Entered: 07/31/2009)
07/31/2009	118	PAPERLESS Minute Entry for proceedings held before Judge Alan S. Gold: Telephone Status Conference held on 7/31/2009 to discuss status of settlement. The parties announced they have reached an agreement in principal. The parties moved ore tenus to continue the hearing presently set for 08/03/09 at 9:00 AM. The request for continuance is granted and the hearing is reset to 08/10/09 at 9:00 AM. A further telephone status conference regarding settlement is set for 08/07/09 at 8:45 AM. Court order to follow. Court Reporter: Joseph Millikan, Phone: 305-523-5588 (jh) Modified on 7/31/2009 (jh). (Entered: 07/31/2009)
07/30/2009	<u>117</u>	ORDER CONCERNING EVIDENTIARY HEARING denying as moot <u>84</u> Motion to Strike <u>45</u> Affidavit of Philip R. West. Signed by Judge Alan S. Gold on 7/30/2009. (dg) (Entered: 07/30/2009)
07/29/2009	<u>116</u>	TRANSCRIPT of Telephonic Status Conference Proceedings held on 07.29.09 before Judge Alan S. Gold. Court Reporter: Joseph A. Millikan, Phone: 305-523-5588. 1-10 pages. The transcript may be viewed at the court public terminal or purchased from Mr. Millikan before the deadline for Release of Transcript Restriction. After that date it may be obtained from Mr. Millikan or through PACER. Redaction Request due 8/19/2009. Redacted Transcript Deadline set for 8/31/2009. Release of Transcript Restriction set for 10/27/2009. (jm) (Entered: 07/29/2009)
07/29/2009	<u>115</u>	NOTICE by United States of America, UBS AG, The Government of Switzerland <i>Joint Notice of Proposed Allocation of Time</i> (Mead, Gordon) (Entered: 07/29/2009)

07/29/2009	<u>114</u>	PAPERLESS Minute Entry for proceedings held before Judge Alan S. Gold: Telephone Status Conference held on 7/29/2009 to discuss status of settlement. Further telephonic status conference set for 07/31/09 at 8:45 AM. Order to follow. Court Reporter: Joseph Millikan, Phone: 305-523-5588 (jh) (Entered: 07/29/2009)
07/29/2009	<u>113</u>	ORDER Setting Telephonic Status Conference: Telephonic Status Conference set for 7/31/2009 08:45 AM in Miami Division before Judge Alan S. Gold.. Signed by Judge Alan S. Gold on 7/29/2009. (wc) (Entered: 07/29/2009)
07/13/2009	<u>112</u>	PAPERLESS Minute Entry for proceedings held before Judge Alan S. Gold: Motion Hearing held on 7/13/2009 re <u>107</u> Joint MOTION to Stay filed by The Government of Switzerland, United States of America, UBS AG. Participants: Stuart D Gibson, USDOJ; Richard D Euliss, USDOJ; Eugene E Stearns, Esquire; Francis P Barron, Esquire; David Greenwald, Esquire; John F Savarese, Esquire; Ralph M Levene, Esquire; Martin J. E. Arms, Esquire; Stephan E Becker, Esquire; John C Dotterer, Esquire. Court Reporter: Joseph Millikan, Phone: 305-523-5588 (jh) (Entered: 07/14/2009)
07/13/2009		Set/Reset Deadlines/Hearings: Evidentiary Hearing set for 8/3/2009 09:00 AM before Judge Alan S. Gold. Telephonic Status Conference set for 7/29/2009 08:45 AM before Judge Alan S. Gold.SEE Image <u>111</u> (ail) (Entered: 07/14/2009)
07/13/2009	<u>111</u>	ORDER granting <u>107</u> Motion to Stay; Setting Telephonic Status Conference. Signed by Judge Alan S. Gold on 7/13/2009. (ail) (Entered: 07/14/2009)
07/13/2009	<u>110</u>	TRANSCRIPT of Oral Argument Proceedings held on 07.13.09 before Judge Alan S. Gold. Court Reporter: Joseph A. Millikan, Phone: 305-523-5588. 1-8 pages. Transcript may be viewed at the court public terminal or purchased from Mr. Millikan before the deadline for Release of Transcript Restriction. After that date it may be obtained from Mr. Millikan or through PACER. Redaction Request due 8/3/2009. Redacted Transcript Deadline set for 8/13/2009. Release of Transcript Restriction set for 10/13/2009. (jm) (Entered: 07/13/2009)
07/12/2009	<u>109</u>	MEMORANDUM OF LAW re <u>99</u> Memorandum in Opposition <i>Supplemental Brief in Response to UBS AG's Response</i> by United States of America. (Gibson, Stuart) (Entered: 07/12/2009)
07/12/2009	<u>108</u>	MEMORANDUM OF LAW re <u>97</u> Order, Set/Reset Deadlines, <u>96</u> Order on Motion for Miscellaneous Relief, Order on Motion for Leave to File, <u>95</u> MOTION for Leave to File <i>Response to Petitioner's June 30 Submission Reply to Response Filed by Government of Switzerland</i> by United States of America. (Gibson, Stuart) (Entered: 07/12/2009)
07/12/2009	<u>107</u>	Joint MOTION to Stay by United States of America, UBS AG, The Government of Switzerland. Responses due by 7/27/2009 (Attachments: # <u>1</u> Exhibit A)(Mead, Gordon) (Entered: 07/12/2009)
07/09/2009	<u>106</u>	ORDER regarding media conduct and electronic equipment access. Signed by Judge Alan S. Gold on 7/9/2009. (jh) (Entered: 07/09/2009)
07/09/2009	<u>105</u>	NOTICE of Compliance by The Government of Switzerland re <u>96</u> Order on Motion for Miscellaneous Relief, Order on Motion for Leave to File (Attachments: # <u>1</u> Appendix)(Dotterer, John) (Entered: 07/09/2009)
07/09/2009	<u>104</u>	ORDER granting <u>98</u> Motion for Leave to File a Response to the IRS's June 30, 2009 Submission on or before noon on Sunday, 7/12/09. Signed by Judge Alan S. Gold on 7/9/2009. (dg) (Entered: 07/09/2009)

07/08/2009	<u>103</u>	RESPONSE in Opposition re <u>84</u> MOTION to Strike <u>45</u> Affidavit of <i>Philip R. West (Section II) Brief of UBS AG in Opposition to the United States' Motion to Strike Section II, Paragraphs 24 Through 27 of the Declaration of Philip R. West</i> filed by UBS AG. (Attachments: # <u>1</u> Exhibit A)(Mead, Gordon) (Entered: 07/08/2009)
07/08/2009	<u>102</u>	REPLY to Response to Motion re <u>86</u> MOTION in Limine to <i>Exclude Portions of the Declarations of Daniel Reeves and Barry Shott Reply Memorandum in Further Support of UBS's Motion in Limine to Exclude Portions of the Declarations of Daniel Reeves and Barry Shott</i> filed by UBS AG. (Mead, Gordon) (Entered: 07/08/2009)
07/08/2009	<u>101</u>	RESPONSE in Opposition re <u>85</u> MOTION to Strike <u>46</u> Report Regarding, <u>67</u> MOTION Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP MOTION to Strike <u>46</u> Report Regarding, <u>67</u> MOTION Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP <i>UBS AG's Opposition to the United States' Motion to Strike Declaration of Charles W. Laurence of AlixPartners and Report of AlixPartners</i> filed by UBS AG. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B)(Mead, Gordon) (Entered: 07/08/2009)
07/08/2009	<u>100</u>	AFFIDAVIT signed by : Professor Isabelle Romy. <i>Supplemental Declaration of Professor Isabelle Romy on Swiss Law</i> by UBS AG. (Mead, Gordon) (Entered: 07/08/2009)
07/08/2009	<u>99</u>	MEMORANDUM in Opposition re <u>83</u> Memorandum in Support, <i>UBS AG's Response to the IRS's June 30, 2009 Submission</i> by UBS AG. (Mead, Gordon) (Entered: 07/08/2009)
07/08/2009	<u>98</u>	MOTION for Leave to File <i>a Response to the IRS's June 30, 2009 Submission</i> by UBS AG. (Mead, Gordon) (Entered: 07/08/2009)
07/08/2009	<u>97</u>	SUPPLEMENTAL ORDER concerning response by the Government of Switzerland. Petitioner's Supplemental Response, as described in this order, shall be filed on or before noon Sunday 7/12/2009. Signed by Judge Alan S. Gold on 7/8/2009. (jh) (Entered: 07/08/2009)
07/08/2009	<u>96</u>	ORDER granting <u>94</u> Motion Request for oral argument; granting <u>95</u> Motion for Leave to File Response. Signed by Judge Alan S. Gold on 7/8/2009. (lms) (Entered: 07/08/2009)
07/07/2009	<u>95</u>	MOTION for Leave to File <i>Response to Petitioner's June 30 Submission</i> by The Government of Switzerland. (Attachments: # <u>1</u> Proposed Response, # <u>2</u> Text of Proposed Order)(Dotterrer, John) (Entered: 07/07/2009)
07/07/2009	<u>94</u>	Unopposed MOTION Request for Oral Argument by The Government of Switzerland. (Attachments: # <u>1</u> Text of Proposed Order)(Dotterrer, John) (Entered: 07/07/2009)
07/07/2009	<u>93</u>	ORDER DENYING <u>87</u> MOTION for Disclosure <i>UBS AG's Motion Seeking an Order Requiring the Government to Disclose the Number of Accounts Already Identified Through Alternative Means</i> AND ADDRESSING <u>84</u> MOTION to Strike <u>45</u> Affidavit of <i>Philip R. West (Section II)</i> , <u>85</u> MOTION to Strike <u>46</u> Report Regarding, <u>86</u> MOTION in Limine to <i>Exclude Portions of the Declarations of Daniel Reeves and Barry Shott</i> , and <u>67</u> MOTION Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP MOTION to Strike <u>46</u> Report Regarding at the Hearing set for 7/13/2009 09:00 AM in Miami Division before Judge Alan S. Gold.Signed by Judge Alan S. Gold on 7/7/2009. (wc) (Entered: 07/07/2009)

07/06/2009	<u>92</u>	RESPONSE in Opposition re <u>87</u> MOTION for Disclosure <i>UBS AG's Motion Seeking an Order Requiring the Government to Disclose the Number of Accounts Already Identified Through Alternative Means</i> filed by United States of America. (Gibson, Stuart) (Entered: 07/06/2009)
07/06/2009	<u>91</u>	NOTICE of Filing by the Court;Permission to Respond to Request. (lms) (Entered: 07/06/2009)
07/02/2009	<u>90</u>	RESPONSE in Opposition re <u>86</u> MOTION in <i>Limine to Exclude Portions of the Declarations of Daniel Reeves and Barry Shott</i> filed by United States of America. (Euliss, Richard) (Entered: 07/02/2009)
07/01/2009	<u>89</u>	TRANSCRIPT of Telephonic Status Conference held on 02.23.09 before Judge Alan S. Gold. Court Reporter: Joseph A. Millikan, Phone: 305-523-5588. 1-13 pages. The transcript may be viewed at the court public terminal or purchased from Mr. Millikan before the deadline for Release of Transcript Restriction. After that date it may be obtained from Mr. Millikan or through PACER. Redaction Request due 7/22/2009. Redacted Transcript Deadline set for 8/3/2009. Release of Transcript Restriction set for 9/29/2009. (jm) (Entered: 07/01/2009)
06/30/2009	<u>88</u>	ORDER granting <u>80</u> Motion to Withdraw as Attorney. Attorney Kemba Eneas Walden terminated Notice of Termination delivered by US Mail to Kemba Walden.. Signed by Judge Alan S. Gold on 6/30/2009. (ail) (Entered: 07/01/2009)
06/30/2009	<u>87</u>	MOTION for Disclosure <i>UBS AG's Motion Seeking an Order Requiring the Government to Disclose the Number of Accounts Already Identified Through Alternative Means</i> by UBS AG. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D – Proposed Order)(Mead, Gordon) (Entered: 06/30/2009)
06/30/2009	<u>86</u>	MOTION in <i>Limine to Exclude Portions of the Declarations of Daniel Reeves and Barry Shott</i> by UBS AG. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C – Proposed Order)(Mead, Gordon) (Entered: 06/30/2009)
06/30/2009	<u>85</u>	MOTION to Strike <u>46</u> Report Regarding, <u>67</u> MOTION Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP by United States of America. Responses due by 7/20/2009 (Attachments: # <u>1</u> Text of Proposed Order)(Euliss, Richard) (Entered: 06/30/2009)
06/30/2009	<u>84</u>	MOTION to Strike <u>45</u> Affidavit of <i>Philip R. West (Section II)</i> by United States of America. Responses due by 7/20/2009 (Attachments: # <u>1</u> Text of Proposed Order)(Euliss, Richard) (Entered: 06/30/2009)
06/30/2009	<u>83</u>	MEMORANDUM in Support re <u>41</u> Memorandum in Opposition, <u>57</u> MOTION for Leave to File <i>Amicus Brief</i> , <u>1</u> Petition (Complaint) to Enforce IRS Summons, <u>48</u> Memorandum of Law in Support of <i>Petition to Enforce "John Doe" Summons</i> by United States of America. (Attachments: # <u>1</u> Appendix Appendix of Materials Filed in Support of Memorandum for Enforcement)(Gibson, Stuart) (Entered: 06/30/2009)
06/23/2009	<u>82</u>	ORDER granting <u>81</u> Unopposed MOTION Participate in July 13 Hearing by The Government of Switzerland. Signed by Judge Alan S. Gold on 6/23/2009. (ail) (Entered: 06/24/2009)
06/19/2009	<u>81</u>	Unopposed MOTION Participate in July 13 Hearing by The Government of Switzerland. (Attachments: # <u>1</u> Text of Proposed Order)(Dotterrer, John) (Entered: 06/19/2009)

06/17/2009	<u>80</u>	Unopposed MOTION to Withdraw as Attorney by Kemba Eneas Walden. by The Government of Switzerland. Responses due by 7/6/2009 (Attachments: # <u>1</u> Text of Proposed Order)(Torres, Jenny) (Entered: 06/17/2009)
06/15/2009	<u>79</u>	ORDER Reserving ruling on <u>67</u> Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP by UBS AG.. Signed by Judge Alan S. Gold on 6/15/2009. (ail) (Entered: 06/16/2009)
06/11/2009	<u>78</u>	TRANSCRIPT of Telephonic Hearing held on 06.10.09 before Judge Alan S. Gold. Court Reporter: Joseph A. Millikan, Phone: 305-523-5588. 1-21 pages. The transcript may be viewed at the court public terminal or purchased from Mr. Millikan before the deadline for Release of Transcript Restriction. After that date, it may be obtained from Mr. Millikan or through PACER. Redaction Request due 7/2/2009. Redacted Transcript Deadline set for 7/13/2009. Release of Transcript Restriction set for 9/9/2009. (jm) (Entered: 06/11/2009)
06/10/2009	77	TEXT Minute Entry for proceedings held before Judge Alan S. Gold: Telephonic Motion Hearing held on 6/10/2009 re <u>67</u> MOTION Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP filed by UBS AG. Court Reporter: Joseph Millikan, Phone: 305-523-5588 (jh) (Entered: 06/11/2009)
06/09/2009	<u>76</u>	NOTICE by United States of America re <u>56</u> Order <i>Notice of Position of United States in Response to Order Response (Document 56)</i> (Gibson, Stuart) (Entered: 06/09/2009)
06/04/2009	<u>75</u>	CERTIFICATE OF SERVICE by UBS AG re <u>74</u> Reply to Response to Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP (Stearns, Eugene) (Entered: 06/04/2009)
06/04/2009	<u>74</u>	REPLY to Response to Motion re <u>67</u> MOTION Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP filed by UBS AG. (Stearns, Eugene) (Entered: 06/04/2009)
06/02/2009	<u>73</u>	AMENDED ORDER Setting Telephonic Status Conference. Signed by Judge Alan S. Gold on 6/2/2009. (ail) (Entered: 06/03/2009)
05/28/2009	<u>72</u>	ORDER granting <u>63</u> Motion for Limited Appearance, Consent to Designation and Request to Electronically Receive Notices of Electronic Filings. Signed by Judge Alan S. Gold on 5/28/2009. (vjk) (Entered: 05/29/2009)
05/28/2009	<u>71</u>	ORDER granting <u>68</u> Unopposed MOTION to Adopt/Join <u>57</u> MOTION for Leave to File Amicus Brief, Unopposed MOTION for Leave to Appear Amicus. Signed by Judge Alan S. Gold on 5/28/2009. (ail) (Entered: 05/29/2009)
05/28/2009	<u>70</u>	RESPONSE in Opposition re <u>67</u> MOTION Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP filed by United States of America. (Euliss, Richard) (Entered: 05/28/2009)
05/26/2009	<u>69</u>	ORDER Setting Telephonic Status Conference: Status Conference set for 6/10/2009 03:15 PM before Judge Alan S. Gold.. Signed by Judge Alan S. Gold on 5/26/2009. (ail) (Entered: 05/27/2009)
05/26/2009	<u>68</u>	Unopposed MOTION to Adopt/Join <u>57</u> MOTION for Leave to File <i>Amicus Brief</i> , Unopposed MOTION for Leave to Appear <i>Amicus</i> (Responses due by 6/12/2009) by european banking federation. (Attachments: # <u>1</u> Text of Proposed Order re Motion of EBF to Appear Amicus and Adopt Amicus Brief of Institute of

		International Bankers, et al.)(Perwin, Joel) (Entered: 05/26/2009)
05/22/2009	<u>67</u>	MOTION Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP by UBS AG. (Attachments: # <u>1</u> Exhibit 05/21/09 Declaration of Charles W. Laurence of Alixpartners, LLP in Support of UBS' Opposition to Petition to Enforce The John Doe Summons, # <u>2</u> Exhibit proposed Order Granting UBS AG'S Motion to Substitute Declaration of Charles W. Laurence of Alixpartners, LLP for Report of Alixpartners, LLP)(Stearns, Eugene) (Entered: 05/22/2009)
05/20/2009	<u>66</u>	ORDER granting leave to take deposition re <u>51</u> Notice (Other) filed by United States of America (see order for details). Signed by Judge Alan S. Gold on 5/20/2009. (dg) (Entered: 05/21/2009)
05/20/2009	65	TEXT Minute Entry for proceedings held before Judge Alan S. Gold: Telephonic Status Conference held on 5/20/2009 to finalize ruling on the proposed deposition of Isabelle Romy. Court Reporter: Joseph Millikan, Phone: 305-523-5588 (jh) (Entered: 05/20/2009)
05/20/2009	64	Clerks Notice of Docket Correction and Instruction to Filer re <u>62</u> Statement filed by United States of America. Error – Wrong Event Selected ;. Instruction to Filer – In the future, please select the proper event, i.e. Memorandum in Support or Memorandum of Law. It is not necessary to refile this document. (ls) (Entered: 05/20/2009)
05/19/2009	<u>62</u>	Statement of: Petitioner in Support of Request to Take Timely Deposition of Respondent's Expert Witness by United States of America re <u>55</u> Order,, Set/Reset Hearings,. (Gibson, Stuart) (Entered: 05/19/2009)
05/19/2009	<u>61</u>	CERTIFICATE OF SERVICE by UBS AG of <i>Statement Concerning Deposition of Professor Isabelle Romy</i> (Stearns, Eugene) (Entered: 05/19/2009)
05/19/2009	<u>60</u>	Statement of: of UBS AG Concerning Deposition of Professor Isabelle Romy by UBS AG. (Stearns, Eugene) (Entered: 05/19/2009)
05/19/2009	<u>59</u>	Statement of: Joint Statement on Proposed Deposition of Isabelle Romy by United States of America re <u>55</u> Order,, Set/Reset Hearings,. (Gibson, Stuart) (Entered: 05/19/2009)
05/18/2009	<u>63</u>	MOTION for Limited Appearance, Consent to Designation, and Request to Electronically Receive Notices of Electronic Filings for Owen C. Pell and Kathleen M. Pakenham, Filing Fee \$150, Receipt #1001055-56. (cw) (Entered: 05/19/2009)
05/18/2009	<u>58</u>	ORDER granting <u>57</u> Motion for Leave to File amicus curiae brief; requesting response. Signed by Judge Alan S. Gold on 5/18/2009. (tb) (Entered: 05/19/2009)
05/15/2009	<u>57</u>	MOTION for Leave to File <i>Amicus Brief</i> by Institute of International Bankers. (Attachments: # <u>1</u> Text of Proposed Order re Motion to File Amicus Brief, # <u>2</u> Amicus Brief)(Perwin, Joel) (Entered: 05/15/2009)
05/09/2009	<u>54</u>	TRANSCRIPT of Telephonic Status Conference held on 05.07.09 before Judge Alan S. Gold. Court Reporter: Joseph A. Millikan, Phone: 305-523-5588. 1-16 pages. The transcript may be viewed at the court public terminal or purchased from Mr. Millikan before the deadline for Release of Transcript Restriction. After that date it may be obtained from Mr. Millikan or through PACER. Redaction Request due 6/1/2009. Redacted Transcript Deadline set for 6/9/2009. Release of Transcript Restriction set for 8/7/2009. (jm) (Entered: 05/09/2009)

05/08/2009	<u>56</u>	ORDER Response. Signed by Judge Alan S. Gold on 5/8/2009. (ail) (Entered: 05/11/2009)
05/08/2009	<u>55</u>	ORDER Evidentiary Hearing And Related Matters: Telephonic Status Conference set for 5/20/2009 02:00 PM before Judge Alan S. Gold.and Evidentiary Hearing set for 7/13/2009 before Judge Alan S. Gold. SEE Order for further Details. Signed by Judge Alan S. Gold on 5/8/2009. (ail) (Entered: 05/11/2009)
05/07/2009	53	TEXT Minute Entry for proceedings held before Judge Alan S. Gold: Telephone Status Conference held on 5/7/2009 to discuss issues relating to the proposed evidentiary hearing. Court Reporter: Joseph Millikan, Phone: 305-523-5588 (jh) (Entered: 05/07/2009)
05/06/2009	<u>52</u>	ORDER Regarding Call-in Procedures for telephonic conference set for May 7, 2009 at 5:00 p.m. Signed by Judge Alan S. Gold on 5/6/2009. (lms) (Entered: 05/06/2009)
05/05/2009	<u>51</u>	NOTICE by United States of America of <i>Position on Evidentiary Hearing, Discovery and Related Matters</i> (Gibson, Stuart) (Entered: 05/05/2009)
05/04/2009	50	Clerks Notice of Docket Correction re <u>18</u> Order,, Set/Reset Deadlines/Hearings,. Error(s): Document Restricted ; Correction – Original document restricted due to an error SEE DE <u>17</u> for Image. (ail) (Entered: 05/04/2009)
04/30/2009	49	CERTIFICATE OF SERVICE by UBS AG re <u>41</u> Memorandum in Opposition, <u>43</u> Affidavit, <u>45</u> Affidavit, <u>44</u> Affidavit, <u>46</u> Report Regarding. See image DE <u>47</u> (lk) (Entered: 05/01/2009)
04/30/2009	<u>48</u>	MEMORANDUM OF LAW re <u>1</u> Petition to Enforce IRS Summons <i>Brief of Amicus Curiae</i> by The Government of Switzerland. (Attachments: # <u>1</u> Appendix)(Dotterer, John) (Entered: 04/30/2009)
04/30/2009	<u>47</u>	CERTIFICATE of Counsel re <u>41</u> Memorandum in Opposition, <u>43</u> Affidavit, <u>45</u> Affidavit, <u>44</u> Affidavit, <u>42</u> Affidavit, <u>46</u> Report Regarding <i>Certificate of Service</i> by Gordon McRae Mead, Jr on behalf of UBS AG (Mead, Gordon) (Entered: 04/30/2009)
04/30/2009	<u>46</u>	REPORT REGARDING Opposition to Petition to Enforce John Doe Summons by Alix Partners, LLP by UBS AG. (Mead, Gordon) (Entered: 04/30/2009)
04/30/2009	<u>45</u>	AFFIDAVIT signed by : Philip R. West. re <u>41</u> Memorandum in Opposition to <i>Petition to Enforce John Doe Summons</i> by UBS AG. (Mead, Gordon) (Entered: 04/30/2009)
04/30/2009	<u>44</u>	AFFIDAVIT signed by : Ralph M. Levene. re <u>41</u> Memorandum in Opposition to <i>Petition to Enforce John Doe Summons</i> by UBS AG. (Mead, Gordon) (Entered: 04/30/2009)
04/30/2009	<u>43</u>	AFFIDAVIT signed by : Oliver Bartholet. re <u>41</u> Memorandum in Opposition to <i>Petition to Enforce John Doe Summons</i> by UBS AG. (Mead, Gordon) (Entered: 04/30/2009)
04/30/2009	<u>42</u>	AFFIDAVIT signed by : Professor Isabelle Romy. re <u>41</u> Memorandum in Opposition to <i>Petition to Enforce John Doe Summons</i> by UBS AG. (Mead, Gordon) (Entered: 04/30/2009)
04/30/2009	<u>41</u>	MEMORANDUM in Opposition re <u>1</u> Petition to Enforce IRS Summons by UBS AG. (Mead, Gordon) (Entered: 04/30/2009)
04/24/2009	<u>40</u>	ORDER re <u>38</u> Motion for Clarification. Signed by Judge Alan S. Gold on 4/24/2009. (ail) (Entered: 04/27/2009)
04/21/2009	<u>39</u>	ORDER denying as moot <u>34</u> Motion for Leave to File Excess Pages; granting <u>36</u> Motion for Leave to File Excess Pages. Signed

		by Judge Alan S. Gold on 4/21/2009. (ail) (Entered: 04/21/2009)
04/21/2009	<u>38</u>	Unopposed MOTION for clarification of <i>Page Limitation</i> by The Government of Switzerland. Responses due by 5/8/2009 (Attachments: # <u>1</u> Text of Proposed Order)(Dotterrer, John) (Entered: 04/21/2009)
04/17/2009	<u>36</u>	Unopposed MOTION for Leave to File Excess Pages by UBS AG. (Attachments: # <u>1</u> Text of Proposed Order)(Mead, Gordon) Modified on 4/21/2009 (ls). (Entered: 04/17/2009)
04/17/2009	<u>35</u>	NOTICE of Attorney Appearance by Geri Fischman on behalf of UBS AG (Fischman, Geri) (Entered: 04/17/2009)
04/16/2009	<u>37</u>	ORDER granting <u>31</u> Motion for Limited Appearance, Consent to Designation and Request to Electronically Receive Notices of Electronic Filings. Signed by Judge Alan S. Gold on 4/16/2009. (ail) (Entered: 04/17/2009)
04/15/2009	<u>34</u>	Unopposed MOTION for Leave to File Excess Pages by UBS AG. (Attachments: # <u>1</u> Text of Proposed Order)(Stearns, Eugene) Modified on 4/17/2009 (ls). (Entered: 04/15/2009)
04/14/2009	<u>33</u>	ORDER granting <u>25</u> Motion for Leave to Appear ; granting <u>25</u> Motion for Leave to File; denying <u>28</u> Motion for Extension of Time to File. Signed by Judge Alan S. Gold on 4/13/2009. (ail) (Entered: 04/15/2009)
04/14/2009	<u>32</u>	RESPONSE in Opposition re <u>28</u> MOTION for Extension of Time to File <i>Amicus Curiae of Joseph A. Buaiz, Jr.</i> filed by United States of America. (Euliss, Richard) (Entered: 04/14/2009)
04/13/2009	<u>31</u>	MOTION for Limited Appearance, Consent to Designation, and Request to Electronically Receive Notices of Electronic Filings for Francis P. Barron and David N. Greenwald, Filing Fee \$150, Receipt #999029-030. (cw) (Entered: 04/14/2009)
04/08/2009	<u>30</u>	ORDER granting <u>26</u> Motion for Limited Appearance, Consent to Designation and Request to Electronically Receive Notices of Electronic Filings ; granting <u>27</u> Motion for Limited Appearance, Consent to Designation and Request to Electronically Receive Notices of Electronic Filings. Signed by Judge Alan S. Gold on 4/8/2009. (ail) (Entered: 04/10/2009)
04/07/2009	<u>29</u>	BRIEF of Amicus Curiae Joseph A. Buaiz Jr. pro se In Support of Respondent by Joseph Buaiz, Jr (ail) (Entered: 04/08/2009)
04/07/2009	<u>28</u>	MOTION for Extension of Time to File Brief Amicus Curiae of Joseph A. Buaiz Jr. In Support of Respondent by Joseph Buaiz, Jr. (ail) (Entered: 04/08/2009)
04/06/2009	<u>25</u>	Unopposed MOTION for Leave to Appear <i>Amicus Curiae</i> (Responses due by 4/23/2009), Unopposed MOTION for Leave to File <i>Amicus Curiae Brief</i> by The Government of Switzerland. (Attachments: # <u>1</u> Text of Proposed Order Proposed Order)(Dotterrer, John) (Entered: 04/06/2009)
04/03/2009	<u>27</u>	MOTION for Limited Appearance, Consent to Designation, and Request to Electronically Receive Notices of Electronic Filings for Stephan E. Becker, Filing Fee \$75.00, Receipt #998557. (cw) (Entered: 04/06/2009)
04/03/2009	<u>26</u>	MOTION for Limited Appearance, Consent to Designation, and Request to Electronically Receive Notices of Electronic Filings for Kemba Eneas Walden, Filing Fee \$75.00, Receipt #998559. (cw) (Entered: 04/06/2009)

04/03/2009	<u>24</u>	NOTICE of Attorney Appearance by Jenny Torres on behalf of The Government of Switzerland (Torres, Jenny) (Entered: 04/03/2009)
04/03/2009	<u>23</u>	NOTICE of Attorney Appearance by John C. Dotterrer on behalf of The Government of Switzerland (Dotterrer, John) (Entered: 04/03/2009)
03/30/2009	<u>22</u>	NOTICE by United States of America re <u>2</u> Affidavit (Attachments: # <u>1</u> Affidavit Amended Declaration of Daniel Reeves)(Gibson, Stuart) (Entered: 03/30/2009)
03/03/2009	<u>21</u>	ORDER granting <u>19</u> Motion for Limited Appearance, Consent to Designation and Request to Electronically Receive Notices of Electronic Filings. Signed by Judge Alan S. Gold on 3/3/2009. (ail) (Entered: 03/03/2009)
02/27/2009	<u>20</u>	NOTICE of ExParte Communication. Signed by Judge Alan S. Gold on 2/27/2009. (ail) (Entered: 03/02/2009)
02/24/2009	<u>18</u>	Oral Argument set for 7/13/2009 09:00 AM in Miami Division before Judge Alan S. Gold., Telephonic Status Conference set for 5/7/2009 05:00 PM before Judge Alan S. Gold. SEE ORDER DE <u>17</u> for Futher Details. Signed by Judge Alan S. Gold on 2/24/2009. (ail) Attached Image Restricted on 5/4/2009 (ail). (Entered: 02/24/2009)
02/24/2009	<u>17</u>	ORDER TO SHOW CAUSE Show Cause Response due by 4/30/2009.SEE ORDER for DAtes and Deadlines. Signed by Judge Alan S. Gold on 2/24/2009. (ail) Modified on 2/24/2009 (ail). (Entered: 02/24/2009)
02/23/2009	15	TEXT Minute Entry for proceedings held before Judge Alan S. Gold: Telephone Status Conference held on 2/23/2009. Scheduling order with dates and deadlines to follow. Court Reporter: Joseph Millikan, Phone: 305-523-5588 (jh) (Entered: 02/24/2009)
02/23/2009	<u>14</u>	NOTICE of Attorney Appearance by Richard D. Euliss on behalf of United States of America (Euliss, Richard) (Entered: 02/23/2009)
02/20/2009	<u>19</u>	MOTION for Limited Appearance, Consent to Designation. and Request to Electronically Receive Notices of Electronic Filings for John F. Savarese, Ralph M. Levene and Martin J.E. Arms, Filing Fee \$225, Receipt #995529-995531. (cw) (Entered: 02/24/2009)
02/20/2009	<u>16</u>	ORDER re <u>11</u> Order, Set Hearings. Signed by Judge Alan S. Gold on 2/20/2009. (ail) (Entered: 02/24/2009)
02/20/2009	13	CLERK'S NOTICE CONVERTING CASE TYPE MC to CV for statistical purpose. (yc) (Entered: 02/20/2009)
02/20/2009	<u>12</u>	RESPONSE/REPLY to <u>10</u> Statement of Background Information filed by United States of America. (Gibson, Stuart) (Entered: 02/20/2009)
02/20/2009	<u>11</u>	ORDER Setting Telephonic Status Conference for 2/23/2009 05:00 PM before Judge Alan S. Gold.). Signed by Judge Alan S. Gold on 2/20/2009. (ail) (Entered: 02/20/2009)
02/20/2009	<u>10</u>	Statement of: Background Information for the Court's Consideration Prior to the Scheduled Status Conference by UBS AG. (Mead, Gordon) (Entered: 02/20/2009)
02/20/2009	9	ORDER SETTING Telephonic Status Conference for 2/23/2009 05:00 PM before Judge Alan S. Gold. Signed by Judge Alan S. Gold on 2/20/2009. (wc) (Entered: 02/20/2009)
02/19/2009	<u>8</u>	NOTICE of Attorney Appearance by Gordon McRae Mead, Jr on behalf of UBS AG (Mead, Gordon) (Entered: 02/19/2009)

02/19/2009	<u>7</u>	NOTICE of Attorney Appearance by Eugene E. Stearns on behalf of UBS AG (Stearns, Eugene) (Entered: 02/19/2009)
02/19/2009	<u>6</u>	NOTICE of Attorney Appearance by Ana Hirfield Barnett on behalf of UBS AG (Barnett, Ana) (Entered: 02/19/2009)
02/19/2009	<u>5</u>	NOTICE by United States of America of <i>Related Case</i> (Gibson, Stuart) (Entered: 02/19/2009)
02/19/2009	<u>4</u>	NOTICE OF UNAVAILABILITY by United States of America for dates of 3/27/09 – 4/8/09 (Gibson, Stuart) (Entered: 02/19/2009)
02/19/2009	<u>3</u>	Declaration of Barry B. Shott signed by : Barry B. Shott. re <u>1</u> Petition to Enforce IRS Summons by United States of America. (asl) (Entered: 02/19/2009)
02/19/2009	<u>2</u>	Declaration of Daniel Reeves signed by : Daniel Reeves. re <u>1</u> Petition to Enforce IRS Summons by United States of America. (Attachments: # <u>1</u> Exhibits 5 thru 21, # <u>2</u> Exhibits 22 thru 31)(asl) (Entered: 02/19/2009)
02/19/2009	<u>1</u>	PETITION to Enforce John Doe Summons, filed by United States of America. (Attachments: # <u>1</u> Order to Show Cause)(asl) (Entered: 02/19/2009)