

**U.S. District Court
District of Minnesota (DMN)
CIVIL DOCKET FOR CASE #: 0:09-cv-01732-MJD-JJK**

Phillips et al v. Cook et al
Assigned to: Chief Judge Michael J. Davis
Referred to: Magistrate Judge Jeffrey J. Keyes
Demand: \$75,000
rel Case: 0:09-mc-00080-MJD-JJK
Cause: 28:1332 Diversity-Other Contract

Date Filed: 07/07/2009
Jury Demand: Plaintiff
Nature of Suit: 190 Contract: Other
Jurisdiction: Diversity

Date Filed	#	Docket Text
10/08/2009	<u>162</u>	ORDER GRANTING MOTION TO WITHDRAW MOTION TO DISMISS is granted re <u>155</u> Letter to Clerk's Office filed by Trevor Cook. Signed by Chief Judge Michael J. Davis on 10/8/09. (KMW) (Entered: 10/08/2009)
10/08/2009	<u>161</u>	AFFIDAVIT of Service by Oxford Private Client Group <i>for Letter Brief to Magistrate Judge Jeffrey J. Keyes</i> (Hibbard, Kathryn) (Entered: 10/08/2009)
10/08/2009	<u>160</u>	AFFIDAVIT of Service by Oxford Private Client Group <i>for Letter Brief to Magistrate Judge Jeffrey J. Keyes</i> (Hibbard, Kathryn) (Entered: 10/08/2009)
10/07/2009	<u>159</u>	CERTIFICATE OF SERVICE by Oxford Private Client Group re <u>158</u> Affidavit of Service, <u>157</u> Letter to Magistrate Judge. (Luger, Andrew) (Entered: 10/07/2009)
10/07/2009	<u>158</u>	AFFIDAVIT of Service by Oxford Private Client Group re <u>157</u> Letter to Magistrate Judge <i>Exhibit A thereto (Filed Under Seal)</i> . (Luger, Andrew) (Entered: 10/07/2009)
10/07/2009	<u>157</u>	LETTER TO MAGISTRATE JUDGE re request to use bank account statements by Oxford Private Client Group <i>REDACTED</i> . (Attachments: # <u>1</u> Placeholder for Ex. A, # <u>2</u> Exhibit(s) B, # <u>3</u> Exhibit(s) C, # <u>4</u> Exhibit(s) D, # <u>5</u> Exhibit(s) E)(Luger, Andrew) SEALED DOCUMENT RECEIVED AT CLERK'S OFFICE ON 10/7/09. (KT) Modified on 10/8/2009 (kt). (Entered: 10/07/2009)
10/06/2009	<u>156</u>	ORDER re <u>153</u> Letter to Magistrate Judge filed by Trevor Cook, <u>149</u> Letter to Magistrate Judge filed by Oxford Private Client Group. Signed by Magistrate Judge Jeffrey J. Keyes on 10/5/09. (RLR) (Entered: 10/06/2009)
10/05/2009	<u>155</u>	LETTER TO CLERK'S OFFICE from John D. Thompson. (Attachments: # <u>1</u> Certificate of Service)(Thompson, John) (Entered: 10/05/2009)
10/02/2009	<u>154</u>	ORDER re <u>152</u> Stipulation filed by Leesa Coley. 1. On or before October 19, 2009, Plaintiffs will deliver to counsel for Stipulating Defendants a copy of their proposed Third Amended Complaint. 2. Subject to their review of the proposed Third Amended Complaint, Stipulating Defendants will promptly consent to Plaintiffs serving and filing a Third Amended Complaint. Stipulating Defendants agree that consent will not be unreasonably withheld.3. Pending service and filing of the Third Amended Complaint, Stipulating Defendants shall not be required to respond to the Second Amended Verified Complaint (Docket No. 122). Signed by Magistrate Judge Jeffrey J. Keyes on 10/2/09. (RLR) (Entered: 10/05/2009)
10/02/2009	<u>153</u>	LETTER TO MAGISTRATE JUDGE by Trevor Cook <i>in Response to Luger letter dated 09/29/09</i> . (Attachments: # <u>1</u> Certificate of Service)(Thompson, John) (Entered: 10/02/2009)
10/02/2009	<u>152</u>	STIPULATION <i>on behalf of all parties</i> by Leesa Coley. (Attachments: # <u>1</u> Certificate of Service)(Hamilton, Molly) (Entered: 10/02/2009)
10/01/2009	<u>151</u>	NOTICE OF ATTORNEY APPEARANCE/SUBSTITUTION for Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes,

		George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, Christopher Pettengill, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Attachments: # <u>1</u> Certificate of Service)(Harper, John) (Entered: 10/01/2009)
09/29/2009	<u>150</u>	ORDER: Based upon the Stipulation of all Plaintiffs and Stipulating Defendants Doc. No. <u>147</u> IT IS HEREBY ORDERED that: 1. On or before October 9, 2009, Plaintiffs will deliver to counsel for Stipulating Defendants a copy of their proposed Third Amended Complaint. 2. Subject to their review of the proposed Third Amended Complaint, Stipulating Defendants will promptly consent to Plaintiffs serving and filing a Third Amended Complaint. Stipulating Defendants agree that consent will not be unreasonably withheld. 3. Pending service and filing of the Third Amended Complaint, Stipulating Defendants shall not be required to respond to the Second Amended Verified Complaint Doc. No. <u>122</u> . Signed by Magistrate Judge Jeffrey J. Keyes on 09/29/2009. (Entered: 09/29/2009)
09/29/2009	<u>149</u>	LETTER TO MAGISTRATE JUDGE by Oxford Private Client Group. (Attachments: # <u>1</u> Certificate of Service)(Luger, Andrew) (Entered: 09/29/2009)
09/29/2009	<u>148</u>	LETTER TO DISTRICT JUDGE by Jason Bo Alan Beckman. (Attachments: # <u>1</u> Certificate of Service)(Luger, Andrew) (Entered: 09/29/2009)
09/25/2009	<u>147</u>	STIPULATION to File Third Amended Complaint and Proposed Order by all Parties . (Attachments: # <u>1</u> Affidavit of Service)(Hamilton, Molly) Modified on 9/28/2009 (jz). (Entered: 09/25/2009)
09/25/2009	<u>146</u>	ORDER FOR REASSIGNMENT OF RELATED CASES that 09mc80 is reassigned to Chief Judge Michael J. Davis and Magistrate Judge Keyes. Signed by Chief Judge Michael J. Davis on 9/21/09 and Judge Paul A. Magnuson on 9/25/09. (JME) (Entered: 09/25/2009)
09/21/2009	<u>145</u>	EXHIBIT 7 from Preliminary Injunction Hearing 9-18-09 by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Hamilton, Molly) (Entered: 09/21/2009)
09/21/2009	<u>144</u>	NOTICE of Appearance by Pace Klein on behalf of Christopher Pettengill. (Klein, Pace) (Entered: 09/21/2009)
09/18/2009	<u>143</u>	ORDER. The Order of this Court dated September 15, 2009, to the extent such Order directed Wells Fargo, N.A. to freeze any personal account of Jason "Bo" Alan Beckman or Christopher Pettengill, is hereby vacated. Signed by Chief Judge Michael J. Davis on 9/18/09. (GRR) (Entered: 09/18/2009)
09/18/2009	<u>142</u>	Minute Entry for proceedings held before Chief Judge Michael J. Davis: Motion Hearing held on 9/18/2009 re <u>96</u> MOTION for Preliminary Injunction by plaintiffs. Motion was moved, argued and taken under advisement. Order to follow. (Court Reporter Jim Trapskin) (KMW) (Entered: 09/18/2009)

09/18/2009	<u>141</u>	EXHIBIT A-C re <u>138</u> Affidavit in Support of Motion by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn filed by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Hamilton, Molly) Modified text on 9/21/2009 (jz). (Entered: 09/18/2009)
09/18/2009	<u>140</u>	CERTIFICATE OF SERVICE by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn re <u>138</u> Affidavit in Support of Motion, <u>139</u> Affidavit in Support of Motion. (Hamilton, Molly) Modified text on 9/21/2009 (jz). (Entered: 09/18/2009)
09/18/2009	<u>139</u>	AFFIDAVIT of Craig R. Engel in SUPPORT OF <u>115</u> MOTION for Temporary Restraining Order <i>and Temporary Injunction</i> filed by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Hamilton, Molly) (Entered: 09/18/2009)
09/18/2009	<u>138</u>	AFFIDAVIT of Allen G. Collins in SUPPORT OF <u>115</u> MOTION for Temporary Restraining Order <i>and Temporary Injunction</i> filed by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet

		Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Hamilton, Molly) (Entered: 09/18/2009)
09/16/2009	<u>137</u>	***TEXT ONLY ENTRY*** NOTICE to Attorneys. The hearing on Plaintiffs' Motion for a Temporary Injunction shall be heard on Friday, September, 18, 2009, at 8:30 a.m. in Courtroom 15E, Minneapolis Federal Courthouse, before Chief Judge Michael J. Davis. Defendants Pettengill and Beckman shall appear personally and must provide an accounting with respect to the transfers referenced in the attached schedules to the Loo Affidavit. (KMW) (Entered: 09/16/2009)
09/15/2009	<u>136</u>	MEMORANDUM OPINION AND ORDER. IT IS HEREBY ORDERED that Plaintiffs' Motion for a Temporary Restraining Order <u>115</u> is GRANTED in part and DENIED in part as follows: 1. Wells Fargo Bank, N.A. shall freeze any account belonging to Defendants Jason "Bo" Alan Beckman and/or Christopher Pettengill up to \$16 million – with the exception that Beckman and Pettengill may have access to funds to pay usual living expenses and attorneys' fees; 2. Associated Bank shall freeze any account belonging to Defendants Jason "Bo" Alan Beckman and/or Christopher Pettengill up to \$16 million – with the exception that Beckman and Pettengill may have access to funds to pay usual living expenses and attorneys' fees; 3. Defendant Jason "Bo" Alan Beckman is not to dispose of the property or funds in Plaintiffs' accounts with Beckman or any entity over which Beckman exercises any control, or dispose of any named Defendants' property or funds in which Plaintiffs hold any form of interest; 4. Defendant Christopher Pettngill is not to dispose of the property or funds in Plaintiffs' accounts with Pettengill or any entity over which Pettengill exercises any control, or dispose of any named Defendants' property or funds in which Plaintiffs hold any form of interest; 5. Defendants Jason "Bo" Alan Beckman and Christopher Pettengill are not to, directly or indirectly, transfer any assets to outside the United States, with the exception of ordinary expenses related to any litigation, e.g., attorneys' fees for attorneys located outside the United States. The Court further ORDERS that this Temporary Restraining Order shall become immediately effective on September 15, 2009, at 4:30 p.m., without the filing of a cash bond or other security. The Court further ORDERS that a hearing on Plaintiffs' Motion for a Temporary Injunction shall be heard on Friday, September 18, 2009, at 8:30 a.m. Defendants Pettingill and Beckman shall appear personally and must provide an accounting with respect to the transfers referenced in the attached schedules to the Loo Affidavit. The Court further ORDERS that Defendants may be served with a copy of this Order by any person, regular mail, e-mail and/or facsimile. Signed by Chief Judge Michael J. Davis on 9/15/09. (GRR) (Entered: 09/15/2009)
09/15/2009	<u>135</u>	MEMORANDUM AND ORDER: 1. PCG's request for an order, as set forth in Doc. No. <u>88</u> is DENIED. Signed by Magistrate Judge Jeffrey J. Keyes on 09/15/2009. (MMP) (Entered: 09/15/2009)
09/14/2009	<u>134</u>	SUMMONS Returned Executed by Hans Heiko Reske, Russell Miller, Joy Phillips, Paul Migliorelli, Stephen Flemmons, Bill McLeod, Sharon DelConte, Stephen G. Froehle, Janet Johnson, Kaye Rachel, Ilene Foster, Victor Sultana, Elizabeth A. Froehler, Mark Sticha, Robert Herr, Judith G. Hale, Shirley Jacobs, Jacquelyn Miller, Ronald B. Stolpman, Roxanna Holliker, John Walencik, Michael Patterson, Jeffery Timberlake, Edgar Stephenson, Joseph Kalina, Charleyne Swoverland, Timothy Coley, Jerilynn Reske, Floyd Foster, Gordon Schepke, Curtis Harvey, Howard Phillips, Curtis Winn, Kathlyn Schepke, Linda Stephenson, Diann Haynes, Edgar Johnson, Greg Rutter, Donald Moran, Barry Owens, Bill Salisbury, Dan Haynes, Kelly Lenti, Philip McDonald, Larry Swoverland, Joan McDonald, Leesa Coley, Sharon Phillips, Ronald Linari, Mary Patterson, George Helmstetter, Kenneth A. Hale, Jerry Rachel, James Downing, III, David Phillips, Donna Haynes, Marianne Sultana. Jason Bo Alan Beckman served on 9/14/2009, answer due 10/5/2009; Trevor Cook served on 9/14/2009, answer due 10/5/2009; Gerald

		Durand served on 9/14/2009, answer due 10/5/2009; Patrick Kiley served on 9/14/2009, answer due 10/5/2009; Oxford Global Advisors served on 9/14/2009, answer due 10/5/2009; Oxford Global Partners served on 9/14/2009, answer due 10/5/2009; Oxford Private Client Group served on 9/14/2009, answer due 10/5/2009; Christopher Pettengill served on 9/14/2009, answer due 10/5/2009; UBSX Diversified served on 9/14/2009, answer due 10/5/2009; UBS Diversified served on 9/14/2009, answer due 10/5/2009; UBS Diversified FX Advisors, LLC served on 9/14/2009, answer due 10/5/2009; UBS Diversified FX Growth LP served on 9/14/2009, answer due 10/5/2009; UBS Diversified FX Management, LLC served on 9/14/2009, answer due 10/5/2009; UBS Diversified Growth, LLC served on 9/14/2009, answer due 10/5/2009; UBS REFCO IFX Millennium RJO PRG served on 9/14/2009, answer due 10/5/2009; Universal Brokerage FX served on 9/14/2009, answer due 10/5/2009; Universal Brokerage Services, LLC served on 9/14/2009, answer due 10/5/2009. (Attachments: # <u>1</u> Certificate of Service)(Harper, John) (Entered: 09/14/2009)
09/14/2009	<u>133</u>	LETTER TO DISTRICT JUDGE by Jason Bo Alan Beckman. (Attachments: # <u>1</u> Certificate of Service)(Shapiro, Lawrence) (Entered: 09/14/2009)
09/14/2009	<u>132</u>	LETTER TO DISTRICT JUDGE by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Harper, John) (Entered: 09/14/2009)
09/11/2009	<u>131</u>	AFFIDAVIT of Patricia Loo re <u>122</u> Amended Complaint, by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Harper, John) Modified text on 9/11/2009 (jz). (Entered: 09/11/2009)
09/11/2009	<u>130</u>	EXHIBIT re <u>122</u> Amended Complaint, <i>Ex. 245–249</i> by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Attachments: # <u>1</u> Ex. 250–251 of 2nd Amd Complaint, # <u>2</u> Ex. 252–256 of 2nd Amd Complaint)(Harper, John) Modified text on 9/11/2009 (jz). (Entered: 09/11/2009)

09/11/2009	<u>129</u>	EXHIBIT re <u>122</u> Amended Complaint, <i>Ex. 225, Part 1 of 2</i> by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Attachments: # <u>1</u> Ex. 225, Part 2 of 2 of the 2nd Amd Complaint, # <u>2</u> Ex. 226–231 of 2nd Amd Complaint, # <u>3</u> Ex. 232–244 of 2nd Amd Complaint)(Harper, John) Modified text on 9/11/2009 (jz). (Entered: 09/11/2009)
09/11/2009	<u>128</u>	EXHIBIT re <u>122</u> Amended Complaint, <i>Ex. 200–209</i> by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Attachments: # <u>1</u> Ex. 210–217 of 2nd Amd Complaint, # <u>2</u> 218–219 of 2nd Amd Complaint, # <u>3</u> 220–224 of 2nd Amd Complaint)(Harper, John) Modified text on 9/11/2009 (jz). (Entered: 09/11/2009)
09/11/2009	<u>127</u>	EXHIBIT re <u>122</u> Amended Complaint, <i>Ex. 174–190</i> by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Attachments: # <u>1</u> Ex. 191–192 of 2nd Amd Complaint, # <u>2</u> Ex. 193–194 of 2nd Amd Complaint, # <u>3</u> Ex. 195–199 of 2nd Amd Complaint)(Harper, John) Modified text on 9/11/2009 (jz). (Entered: 09/11/2009)
09/11/2009	<u>126</u>	EXHIBIT re <u>122</u> Amended Complaint, <i>Ex. 159, Part 1 of 2</i> by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Attachments: # <u>1</u> Ex. 159, Part 2 of 2 of 2nd Amd Complaint, # <u>2</u> Ex. 160–167 of 2nd Amd

		Complaint, # <u>3</u> Ex. 168–173 of 2nd Amd Complaint)(Harper, John) Modified text on 9/11/2009 (jz). (Entered: 09/11/2009)
09/11/2009	<u>125</u>	EXHIBIT re <u>122</u> Amended Complaint, <i>118–129</i> by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Attachments: # <u>1</u> Ex. 130–137 of 2nd Amd Complaint, # <u>2</u> Ex. 138–149 of 2nd Amd Complaint, # <u>3</u> Ex. 150–158 of 2nd Amd Complaint)(Harper, John) Modified text on 9/11/2009 (jz). (Entered: 09/11/2009)
09/11/2009	<u>124</u>	EXHIBIT re <u>122</u> Amended Complaint, <i>Ex. 74–80</i> by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Attachments: # <u>1</u> Ex. 81–93 of 2nd Amd Complaint, # <u>2</u> 94–101 of 2nd Amd Complaint, # <u>3</u> 102–117 of 2nd Amd Complaint)(Harper, John) Modified text on 9/11/2009 (jz). (Entered: 09/11/2009)
09/11/2009	<u>123</u>	EXHIBIT re <u>122</u> Amended Complaint, <i>Ex. 1a –19</i> by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Attachments: # <u>1</u> Ex. 20–45 of 2nd Amd Complaint, # <u>2</u> 46–73 of 2nd Amd Complaint)(Harper, John) Modified text on 9/11/2009 (jz). (Entered: 09/11/2009)
09/11/2009	<u>122</u>	AMENDED COMPLAINT <i>Second</i> against Jason Bo Alan Beckman, Trevor Cook, Gerald Durand, Patrick Kiley, Oxford Global Advisors, Oxford Global Partners, Oxford Private Client Group, Christopher Pettengill, UBFX Diversified, UBS Diversified, UBS Diversified FX Advisors, LLC, UBS Diversified FX Growth LP, UBS Diversified FX Management, LLC, UBS Diversified Growth, LLC, UBS REFCO IFX Millennium RJO PRG, Universal Brokerage FX, Universal Brokerage Services, LLC, filed by Hans Heiko Reske, Russell Miller, Joy Phillips, Paul Migliorelli, Stephen Flemmons, Bill McLeod, Sharon DelConte, Stephen G. Froehle, Janet Johnson, Kaye Rachel, Ilene Foster, Victor Sultana, Elizabeth A. Froehler, Mark Sticha, Robert Herr, Judith G. Hale, Shirley Jacobs, Jacquelyn Miller, Ronald B. Stolpman, Roxanna Holliker, John Walencik, Michael Patterson, Jeffery Timberlake, Edgar Stephenson, Joseph Kalina, Charleyne Swoverland,

		Timothy Coley, Jerilynn Reske, Floyd Foster, Gordon Schepke, Curtis Harvey, Howard Phillips, Curtis Winn, Kathlyn Schepke, Linda Stephenson, Diann Haynes, Edgar Johnson, Greg Rutter, Donald Moran, Barry Owens, Bill Salisbury, Dan Haynes, Kelly Lenti, Philip McDonald, Larry Swoverland, Joan McDonald, Leesa Coley, Sharon Phillips, Ronald Linari, Mary Patterson, George Helmstetter, Kenneth A. Hale, Jerry Rachel, James Downing, III, David Phillips, Donna Haynes, Marianne Sultana. (Attachments: # <u>1</u> Part 2 of 2) (Harper, John) (Entered: 09/11/2009)
09/10/2009	<u>121</u>	CERTIFICATE OF SERVICE by Jason Bo Alan Beckman re <u>119</u> Memorandum in Opposition to Motion, <u>120</u> Affidavit in Opposition to Motion for <i>Temporary Restraining Order and Temporary Injunction</i> (Hibbard, Kathryn) (Entered: 09/10/2009)
09/10/2009	<u>120</u>	AFFIDAVIT of Jason Bo Alan Beckman in OPPOSITION TO <u>115</u> MOTION for Temporary Restraining Order <i>and Temporary Injunction</i> filed by Jason Bo Alan Beckman. (Hibbard, Kathryn) (Entered: 09/10/2009)
09/10/2009	<u>119</u>	MEMORANDUM in Opposition re <u>115</u> MOTION for Temporary Restraining Order <i>and Temporary Injunction</i> filed by Jason Bo Alan Beckman. (Attachments: # <u>1</u> LR7.1 Word Count Compliance Certificate)(Hibbard, Kathryn) (Entered: 09/10/2009)
09/10/2009	<u>118</u>	CERTIFICATE of Counsel re <u>115</u> MOTION for Temporary Restraining Order <i>and Temporary Injunction</i> , <u>117</u> Memorandum in Support of Motion, <u>116</u> Notice of Hearing on Motion by Molly R Hamilton on behalf of Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Hamilton, Molly) Modified text on 9/11/2009 (jz). (Entered: 09/10/2009)
09/10/2009	<u>117</u>	MEMORANDUM in Support re <u>115</u> MOTION for Temporary Restraining Order <i>and Temporary Injunction</i> filed by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Attachments: # <u>1</u> LR7.1 Word Count Compliance Certificate)(Hamilton, Molly) (Entered: 09/10/2009)
09/10/2009	<u>116</u>	NOTICE OF HEARING ON MOTION re: Motion for Temporary Restraining Order and Temporary Injunction. Date and time to be determined.(Hamilton, Molly) Modified text and link on 9/11/2009 (jz). (Entered: 09/10/2009)
09/10/2009	<u>115</u>	MOTION for Temporary Restraining Order <i>and Temporary Injunction</i> by Leesa Coley, Timothy Coley, Sharon DelConte, James Downing, III, Stephen Flemmons, Floyd Foster, Ilene Foster, Stephen G. Froehle, Elizabeth A. Froehler, Judith G. Hale, Kenneth A. Hale, Curtis Harvey, Dan Haynes, Diann Haynes, Donna Haynes, George Helmstetter, Robert Herr, Roxanna Holliker, Shirley Jacobs, Edgar Johnson, Janet Johnson, Joseph Kalina, Kelly Lenti, Ronald Linari, Joan

		McDonald, Philip McDonald, Bill McLeod, Paul Migliorelli, Jacquelyn Miller, Russell Miller, Donald Moran, Barry Owens, Mary Patterson, Michael Patterson, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, Jerry Rachel, Kaye Rachel, Hans Heiko Reske, Jerilynn Reske, Greg Rutter, Bill Salisbury, Gordon Schepke, Kathlyn Schepke, Edgar Stephenson, Linda Stephenson, Mark Sticha, Ronald B. Stolpman, Marianne Sultana, Victor Sultana, Charleyne Swoverland, Larry Swoverland, Jeffery Timberlake, John Walencik, Curtis Winn. (Hamilton, Molly) (Entered: 09/10/2009)
09/10/2009	<u>114</u>	ORDER: IT IS HEREBY ORDERED: 1. Star Tribune's Motion to Intervene <u>99</u> is GRANTED for the limited purpose of asserting public access to court records and proceedings, including accessing documents filed under seal. 2. Plaintiffs' Second Amended Verified Complaint, filed September 9, 2009 <u>95</u> is no longer under seal and shall be available immediately for public inspection and copying. This Court's Order dated September 9, 2009 <u>94</u> is hereby vacated. 3. Plaintiffs' Temporary Restraining Order Motion papers filed September 9, 2009 [96 and related filings] are no longer under seal and shall be available immediately for public inspection and copying. This Court's Order dated September 8, 2009 <u>93</u> is hereby vacated. 4. Defendants' opposition papers to Plaintiffs' Temporary Restraining Order Motion Papers shall be filed conventionally and, upon filing, shall be available immediately for public inspection and copying. Signed by Chief Judge Michael J. Davis on 9/10/09. (GRR) (Entered: 09/10/2009)
09/10/2009	<u>113</u>	Minute Entry for proceedings held before Chief Judge Michael J. Davis: Motion Hearing held on 9/10/2009 re <u>99</u> MOTION to Intervene <i>for Limited Purpose of Seeking Public Access to Court Files and (2) Motion of Star Tribune for Access to Court Files</i> filed by Star Tribune, <u>96</u> MOTION for Temporary Restraining Order <i>and Temporary Injunction</i> filed by Leesa Coley, Timothy Coley, David Phillips, Joy Phillips, Judith G. Hale, Howard Phillips, Roxanna Holliker, Kenneth A. Hale, Sharon Phillips. Motion to Intervene and Unseal Second Amended Complaint and Temporary Restraining Order Motion Papers was Granted. Parties to file the documents on ECF. The Motion for Temporary Restraining Order was moved, argued and taken under advisement. An order shall follow. (Court Reporter Jim Trapskin) (KMW) (Entered: 09/10/2009)
09/10/2009		Amended Summons Issued as to Jason Bo Alan Beckman, Trevor Cook, Gerald Durand, Patrick Kiley, Oxford Global Advisors, Oxford Global Partners, Oxford Private Client Group, Christopher Pettengill, UBFX Diversified, UBS Diversified, UBS Diversified FX Advisors, LLC, UBS Diversified FX Growth LP, UBS Diversified FX Management, LLC, UBS Diversified Growth, LLC, UBS REFCO IFX Millennium RJO PRG, Universal Brokerage FX, Universal Brokerage Services, LLC. (kt) (Entered: 09/10/2009)
09/10/2009	<u>112</u>	AFFIDAVIT of Service by Leesa Coley, Timothy Coley, Judith G. Hale, Kenneth A. Hale, Roxanna Holliker, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips re <u>95</u> Amended Complaint, <u>96</u> MOTION for Temporary Restraining Order <i>and Temporary Injunction</i> . (Harper, John) Modified text on 9/11/2009 (jz). (Entered: 09/10/2009)
09/10/2009	<u>111</u>	CERTIFICATE OF SERVICE by Trevor Cook re <u>110</u> Memorandum in Opposition to Motion <i>to Intervene by Star Tribune</i> . (Thompson, John) Modified text on 9/11/2009 (jz). (Entered: 09/10/2009)
09/10/2009	<u>110</u>	MEMORANDUM in Opposition re <u>99</u> MOTION to Intervene <i>for Limited Purpose of Seeking Public Access to Court Files and (2) Motion of Star Tribune for Access to Court Files</i> filed by Trevor Cook. (Thompson, John) (Entered: 09/10/2009)
09/10/2009	<u>109</u>	ORDER: The Stipulation to File Second Amended Complaint ("Stipulation") Doc. No. <u>103</u> , is approved, and pursuant to the Stipulation, IT IS HEREBY ORDERED that the terms of the Stipulation are adopted and Plaintiffs' are permitted to file their Second Amended Complaint. Signed by Magistrate Judge Jeffrey J. Keyes on 09/09/2009. (MMP) (Entered: 09/10/2009)
09/10/2009	<u>108</u>	AFFIDAVIT of Service re: <u>105</u> Memorandum in Opposition to Motion, <u>106</u> Affidavit in Opposition to Motion by Jason Bo Alan Beckman (Hibbard, Kathryn) Modified text and link on 9/11/2009 (jz). (Entered: 09/10/2009)

09/10/2009	<u>107</u>	CERTIFICATE OF SERVICE by Jason Bo Alan Beckman re <u>105</u> Memorandum in Opposition to Motion, <u>106</u> Affidavit in Opposition to Motion for <i>Temporary Restraining Order and Temporary Injunction</i> (Hibbard, Kathryn) (Entered: 09/10/2009)
09/10/2009	<u>106</u>	AFFIDAVIT of Jason Bo Alan Beckman in OPPOSITION TO <u>96</u> MOTION for Temporary Restraining Order <i>and Temporary Injunction</i> filed by Jason Bo Alan Beckman. (Hibbard, Kathryn) (Entered: 09/10/2009)
09/10/2009	<u>105</u>	MEMORANDUM in Opposition re <u>96</u> MOTION for Temporary Restraining Order <i>and Temporary Injunction</i> filed by Jason Bo Alan Beckman. (Attachments: # <u>1</u> LR7.1 Word Count Compliance Certificate)(Hibbard, Kathryn) (Entered: 09/10/2009)
09/09/2009	<u>104</u>	***TEXT ONLY ENTRY*** NOTICE to Attorneys. The Motion for Temporary Restraining Order <u>96</u> and Motion to Intervene <u>99</u> shall be heard on 9/10/09 at 11:00 a.m. in Courtroom 15E before Chief Judge Michael J. Davis. Parties wishing to respond to either motion should have the response filed by 10:00 a.m. on 9/10/09. Chambers should receive courtesy copies to the Chambers e-mail box or delivered in person by 10:00 a.m. (KMW) (Entered: 09/09/2009)
09/09/2009	<u>103</u>	STIPULATION to File <i>Second Amended Complaint</i> by all parties. (Harper, John) Modified filers on 9/10/2009 (jz). (Entered: 09/09/2009)
09/09/2009	<u>102</u>	CERTIFICATE OF SERVICE by Star Tribune re <u>100</u> Memorandum in Support of Motion, <u>101</u> Declaration in Support, <u>99</u> MOTION to Intervene for <i>Limited Purpose of Seeking Public Access to Court Files and (2) Motion of Star Tribune for Access to Court Files</i> . (Borger, John) Modified text on 9/10/2009 (jz). (Entered: 09/09/2009)
09/09/2009	<u>101</u>	Declaration of Daniel R. Browning in Support of <u>100</u> Memorandum in Support of Motion, <u>99</u> MOTION to Intervene for <i>Limited Purpose of Seeking Public Access to Court Files and (2) Motion of Star Tribune for Access to Court Files</i> filed by Star Tribune. (Borger, John) (Entered: 09/09/2009)
09/09/2009	<u>100</u>	MEMORANDUM in Support re <u>99</u> MOTION to Intervene for <i>Limited Purpose of Seeking Public Access to Court Files and (2) Motion of Star Tribune for Access to Court Files</i> filed by Star Tribune. (Borger, John) (Entered: 09/09/2009)
09/09/2009	<u>99</u>	MOTION to Intervene for <i>Limited Purpose of Seeking Public Access to Court Files and (2) Motion of Star Tribune for Access to Court Files</i> AND NOTICE OF MOTION by Star Tribune. (Borger, John) Modified text on 9/10/2009 (jz). (Entered: 09/09/2009)
09/09/2009	<u>98</u>	NOTICE of Appearance by John P Borger on behalf of Star Tribune. (Attachments: # <u>1</u> Certificate of Service)(Borger, John) (Entered: 09/09/2009)
09/09/2009	<u>97</u>	CERTIFICATE OF SERVICE by Leesa Coley, Timothy Coley, Judith G. Hale, Kenneth A. Hale, Roxanna Holliker, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips re <u>95</u> Amended Complaint, <u>96</u> MOTION for Temporary Restraining Order <i>and Temporary Injunction</i> . (Hamilton, Molly) Modified text on 9/10/2009 (jz). (Entered: 09/09/2009)
09/09/2009	<u>96</u>	MOTION for Temporary Restraining Order <i>and Temporary Injunction</i> by Leesa Coley, Timothy Coley, Judith G. Hale, Kenneth A. Hale, Roxanna Holliker, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips. (Hamilton, Molly) (Entered: 09/09/2009)
09/09/2009	<u>95</u>	AMENDED COMPLAINT <i>Second</i> against Trevor Cook, Gerald Durand, Oxford Global Advisors, Oxford Global Partners, Oxford Private Client Group, UBFX Diversified, UBS Diversified, UBS Diversified FX Advisors, LLC, UBS Diversified FX Growth LP, UBS Diversified FX Management, LLC, UBS Diversified Growth, LLC, UBS REFCO IFX Millennium RJO PRG, Universal Brokerage FX, Universal Brokerage Services, LLC, filed by Roxanna Holliker, Joy Phillips, Howard Phillips, Leesa Coley, Sharon Phillips, Kenneth A. Hale, Timothy Coley, David Phillips, Judith G. Hale. (Hamilton, Molly) (Entered: 09/09/2009)

09/09/2009	<u>94</u>	ORDER: The Stipulation to File Second Amended Complaint and Accompanying Exhibits Under Seal ("Stipulation") is hereby approved, and pursuant to the Stipulation, IT IS HEREBY ORDERED that the terms of the Stipulation are adopted and the Second Amended Complaint and accompanying exhibits shall be filed under seal. Signed by Magistrate Judge Jeffrey J. Keyes on 09/09/2009. (MMP) (Entered: 09/09/2009)
09/08/2009	<u>93</u>	ORDER re <u>92</u> Stipulation Granting Motion Papers for TRO to be Filed Under Seal. Signed by Chief Judge Michael J. Davis on 9/8/09. (KMW) (Entered: 09/08/2009)
09/08/2009	<u>92</u>	STIPULATION to File Temporary Restraining Order Motion Papers Under Seal by Leesa Coley, Timothy Coley, Trevor Cook, Gerald Durand, Judith G. Hale, Kenneth A. Hale, Roxanna Holliker, Oxford Global Advisors, Oxford Global Partners, Oxford Private Client Group, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, UBSX Diversified, UBS Diversified, UBS Diversified FX Advisors, LLC, UBS Diversified FX Growth LP, UBS Diversified FX Management, LLC, UBS Diversified Growth, LLC, UBS REFCO IFX Millennium RJO PRG, Universal Brokerage FX, Universal Brokerage Services, LLC. (Hamilton, Molly) (Entered: 09/08/2009)
09/08/2009	<u>91</u>	STIPULATION to File Second Amended Complaint and Accompanying Exhibits Under Seal by Leesa Coley, Timothy Coley, Trevor Cook, Gerald Durand, Judith G. Hale, Kenneth A. Hale, Roxanna Holliker, Oxford Global Advisors, Oxford Global Partners, Oxford Private Client Group, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips, UBSX Diversified, UBS Diversified, UBS Diversified FX Advisors, LLC, UBS Diversified FX Growth LP, UBS Diversified FX Management, LLC, UBS Diversified Growth, LLC, UBS REFCO IFX Millennium RJO PRG, Universal Brokerage FX, Universal Brokerage Services, LLC. (Hamilton, Molly) (Entered: 09/08/2009)
09/03/2009	<u>90</u>	CERTIFICATE OF SERVICE by Trevor Cook re <u>89</u> Letter to Magistrate Judge with enclosures. (Thompson, John) Modified text on 9/4/2009 (jz). (Entered: 09/03/2009)
09/03/2009	<u>89</u>	LETTER TO MAGISTRATE JUDGE by Trevor Cook with enclosures. (Thompson, John) (Entered: 09/03/2009)
09/03/2009	<u>88</u>	LETTER TO MAGISTRATE JUDGE by Oxford Private Client Group. (Attachments: # <u>1</u> Exhibit(s) A, # <u>2</u> Certificate of Service)(Luger, Andrew) (Entered: 09/03/2009)
09/01/2009	<u>87</u>	NOTICE OF ATTORNEY APPEARANCE/SUBSTITUTION for Leesa Coley, Timothy Coley, Judith G. Hale, Kenneth A. Hale, Roxanna Holliker, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips. (Attachments: # <u>1</u> Certificate of Service)(Hamilton, Molly) (Entered: 09/01/2009)
08/21/2009	<u>86</u>	ORDER STAYING BRIEFING SCHEDULE re <u>82</u> Stipulation, filed by Leesa Coley, Timothy Coley, David Phillips, Howard Phillips, Kenneth A. Hale, Oxford Private Client Group, Oxford Global Partners, Joy Phillips, Trevor Cook, Judith G. Hale, Gerald Durand, Sharon Phillips, and Roxanna Holliker. Signed by Chief Judge Michael J. Davis on 8/21/09. (KMW) (Entered: 08/21/2009)
08/20/2009	<u>85</u>	CERTIFICATE OF SERVICE by Oxford Global Advisors re <u>84</u> Notice of Appearance. (Albert, Stephanie) Modified text on 8/20/2009 (jz). (Entered: 08/20/2009)
08/20/2009	<u>84</u>	NOTICE of Appearance by Stephanie A Albert on behalf of Oxford Global Advisors. (Albert, Stephanie) (Entered: 08/20/2009)
08/19/2009	<u>83</u>	ORDER: Based upon the Stipulation of all Plaintiffs and the Stipulating Defendants Doc. No. <u>82</u> , IT IS HEREBY ORDERED that: 1. On or before August 28, 2009, Plaintiffs will deliver to counsel for Stipulating Defendants a copy of their proposed Second Amended Complaint. 2. Subject to their review of the proposed Second Amended Complaint, Stipulating Defendants will promptly consent to Plaintiffs serving and filing a Second Amended Complaint. Stipulating Defendants agree that consent will not be unreasonably withheld. 3. Pending service and filing of the Second Amended Complaint, Defendants Gerald Durand and Oxford Private Client Group shall not be required to respond to the Amended

		Verified Complaint. Signed by Magistrate Judge Jeffrey J. Keyes on 08/18/2009. (MMP) Modified on 8/20/2009 (jz). (Entered: 08/19/2009)
08/17/2009	<u>82</u>	STIPULATION by Leesa Coley, Timothy Coley, Trevor Cook, Gerald Durand, Judith G. Hale, Kenneth A. Hale, Roxanna Holliker, Oxford Global Partners, Oxford Private Client Group, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips. (Attachments: # <u>1</u> Certificate of Service)(Hibbard, Kathryn) (Entered: 08/17/2009)
08/14/2009		TEXT ONLY ENTRY – ORDER granting <u>81</u> Motion for Admission Pro Hac Vice of attorney Henry Nasif Mahmoud for UB entities. Fee paid; receipt number 4-036909. Approved by Magistrate Judge Jeffrey J. Keyes on 8/14/09. (MMC) (Entered: 08/14/2009)
08/14/2009	<u>81</u>	MOTION for Admission Pro Hac Vice for Henry Nasif Mahmoud by UBFX Diversified, UBS Diversified, UBS Diversified FX Advisors, LLC, UBS Diversified FX Growth LP, UBS Diversified FX Management, LLC, UBS Diversified Growth, LLC, UBS REFCO IFX Millennium RJO PRG, Universal Brokerage FX, Universal Brokerage Services, LLC. (MMC) (Entered: 08/14/2009)
08/07/2009	<u>80</u>	LR7.1 WORD COUNT COMPLIANCE CERTIFICATE by Trevor Cook re <u>70</u> Memorandum in Support of Motion. (Attachments: # <u>1</u> Certificate of Service)(Thompson, John) (Entered: 08/07/2009)
08/07/2009	<u>79</u>	CERTIFICATE OF SERVICE by Oxford Global Partners re <u>77</u> Affidavit in Support of Motion, <u>76</u> LR7.1 Word Count Compliance Certificate, <u>75</u> Memorandum in Support of Motion, <u>74</u> MOTION to Dismiss, <u>78</u> Notice of Hearing on Motion. (Neve, John) (Entered: 08/07/2009)
08/07/2009	<u>78</u>	NOTICE OF HEARING ON MOTION <u>74</u> MOTION to Dismiss : Motion Hearing set for 10/16/2009 09:00 AM in Edward J. Devitt Courtroom (STP) before Chief Judge Michael J. Davis. (Neve, John) (Entered: 08/07/2009)
08/07/2009	<u>77</u>	AFFIDAVIT of John R. Neve in SUPPORT OF <u>74</u> MOTION to Dismiss filed by Oxford Global Partners. (Attachments: # <u>1</u> Exhibit(s) A)(Neve, John) (Entered: 08/07/2009)
08/07/2009	<u>76</u>	LR7.1 WORD COUNT COMPLIANCE CERTIFICATE by Oxford Global Partners re <u>75</u> Memorandum in Support of Motion filed by Oxford Global Partners. (Neve, John) (Entered: 08/07/2009)
08/07/2009	<u>75</u>	MEMORANDUM in Support re <u>74</u> MOTION to Dismiss filed by Oxford Global Partners. (Neve, John) (Entered: 08/07/2009)
08/07/2009	<u>74</u>	MOTION to Dismiss by Oxford Global Partners. (Neve, John) (Entered: 08/07/2009)
08/07/2009	<u>73</u>	NOTICE of Appearance by Lawrence M Shapiro on behalf of Oxford Private Client Group. (Attachments: # <u>1</u> Certificate of Service)(Shapiro, Lawrence) (Entered: 08/07/2009)
08/06/2009	<u>72</u>	CERTIFICATE OF SERVICE by Trevor Cook re <u>68</u> MOTION to Dismiss <i>or in the Alternative for a More Definite Statement and to Compel Arbitration</i> , <u>69</u> Notice of Hearing on Motion, <u>71</u> Affidavit in Support of Motion, <u>70</u> Memorandum in Support of Motion <i>to Dismiss or in the Alternative for a More Definite Statement and to Compel Arbitration</i> . (Thompson, John) Modified on 8/7/2009 (jz). (Entered: 08/06/2009)
08/06/2009	<u>71</u>	AFFIDAVIT of John D. Thompson in SUPPORT OF <u>68</u> MOTION to Dismiss <i>or in the Alternative for a More Definite Statement and to Compel Arbitration</i> filed by Trevor Cook. (Attachments: # <u>1</u> Exhibit(s) A)(Thompson, John) (Entered: 08/06/2009)
08/06/2009	<u>70</u>	MEMORANDUM in Support re <u>68</u> MOTION to Dismiss <i>or in the Alternative for a More Definite Statement and to Compel Arbitration</i> filed by Trevor Cook. (Thompson, John) (Entered: 08/06/2009)

08/06/2009	<u>69</u>	NOTICE OF HEARING ON MOTION <u>68</u> MOTION to Dismiss <i>or in the Alternative for a More Definite Statement and to Compel Arbitration</i> : Motion Hearing set for 10/16/2009 09:00 AM in Edward J. Devitt Courtroom (STP) before Chief Judge Michael J. Davis. (Thompson, John) (Entered: 08/06/2009)
08/06/2009	<u>68</u>	MOTION to Dismiss <i>or in the Alternative for a More Definite Statement and to Compel Arbitration</i> by Trevor Cook. (Thompson, John) (Entered: 08/06/2009)
08/06/2009	<u>67</u>	ORDER: that: 1. Any discovery provided pursuant to the Court's July 27, 2009 Order Doc. No. <u>61</u> , granting Plaintiffs' motion for expedited discovery, shall be made available to all parties in this case. Signed by Magistrate Judge Jeffrey J. Keyes on 08/06/2009. (MMP) (Entered: 08/06/2009)
08/06/2009	<u>66</u>	CERTIFICATE OF SERVICE by Trevor Cook re <u>64</u> Letter to Magistrate Judge 08/05/09. (Thompson, John) Modified text on 8/7/2009 (jz). (Entered: 08/06/2009)
08/06/2009	<u>65</u>	NOTICE of Appearance by Roger L Kramer on behalf of Gerald Durand. (Attachments: # <u>1</u> Affidavit of Service)(Kramer, Roger) (Entered: 08/06/2009)
08/05/2009	<u>64</u>	LETTER TO MAGISTRATE JUDGE by Trevor Cook. (Thompson, John) (Entered: 08/05/2009)
08/05/2009	<u>63</u>	LETTER TO MAGISTRATE JUDGE by Oxford Private Client Group. (Attachments: # <u>1</u> Exhibit(s) A, # <u>2</u> Certificate of Service)(Luger, Andrew) (Entered: 08/05/2009)
08/03/2009	<u>62</u>	RULE 7.1 DISCLOSURE STATEMENT by Oxford Private Client Group that there is no such parent or publicly held corporation to report. (Attachments: # <u>1</u> Certificate of Service)(Hibbard, Kathryn) (Entered: 08/03/2009)
07/27/2009	<u>61</u>	ORDER: that: 1. Plaintiffs' Motion for Expedited Discovery Doc. No. <u>42</u> , is GRANTED as follows: a. On an expedited basis, and without regard to the time requirements or procedures allowed under the Federal Rules of Civil Procedure, Plaintiffs are entitled to notice a deposition, request documents, and serve written discovery on Defendant Gerald Durand as it relates to (i) the identification, location, and amount of Plaintiffs accounts; (ii) bank records relating to any operating accounts of Gerald Durand, or entities with which he was affiliated from the time of Plaintiffs investments to present; and (iii) all documents or information that Gerald Durand has produced or provided to any governmental agency investigating any matter related to Plaintiffs' Complaint; b. Upon effectuating service on Defendant Oxford Global Advisors, and without regard to the time requirements or procedures allowed under the Federal Rules of Civil Procedure, Plaintiffs are entitled on an expedited basis to notice depositions, request documents, and serve written discovery on Oxford Global Advisors as it relates to (i) the identification, location and amount of Plaintiffs accounts; (ii) bank records relating to any operating accounts of Oxford Global Advisors; and (iii) all documents or information that Oxford Global Advisors has produced or provided to any governmental agency investigating any matter related to Plaintiffs' Complaint; and c. Defendants Gerald Durand and Oxford Global Advisors must appear for depositions and/or respond to document requests and written discovery requests within seven days of receiving notice; 2. With respect to the remaining Defendants, Plaintiffs' Motion for Expedited Discovery Doc. No. <u>42</u> , is WITHDRAWN; and 3. Plaintiffs are entitled to seek expedited relief as it relates to compliance with this Order, existing Orders and Stipulations relating to expedited discovery, and additional expedited discovery that is necessary. Signed by Magistrate Judge Jeffrey J. Keyes on 07/27/2009. (MMP) (Entered: 07/27/2009)
07/27/2009	<u>60</u>	AFFIDAVIT of Service by Leesa Coley, Timothy Coley, Judith G. Hale, Kenneth A. Hale, Roxanna Holliker, David Phillips, Howard Phillips, Joy Phillips, Sharon Phillips re <u>38</u> Order on Motion for TRO, <u>3</u> Notice of Hearing on Motion, <u>37</u> Temporary Restraining Order, <u>4</u> Memorandum in Support of Motion, <u>28</u> Affidavit in Support of Motion, <u>5</u> Affidavit in Support of Motion, <u>24</u> Amended Complaint,, <u>23</u> Notice (Other), <u>29</u> Affidavit in Support of Motion, <u>1</u> Complaint,, <u>6</u> Affidavit in Support of Motion, <u>25</u> Memorandum in Support of Motion, <u>7</u> Exhibit, (Hamilton, Molly) (Entered: 07/27/2009)

07/24/2009	<u>59</u>	Minute Entry: Motion Hearing proceedings held before Magistrate Judge Jeffrey J. Keyes on 7/24/2009. Plaintiffs' Motion for Expedited Discovery Doc. No. <u>42</u> . Motions taken under advisement as of July 24, 2009. Order to be issued. (Court Reporter No and Tape #7-24-09 (14:49:33:15:04:31)) (MMP) (Entered: 07/24/2009)
07/24/2009	<u>58</u>	ORDER. 1. The bank accounts frozen by the Temporary Restraining Order <u>37</u> shall remain frozen until a trial of this matter. 2. The bank accounts of any accounts frozen by Associated Bank pursuant to the Temporary Restraining Order <u>38</u> shall remain frozen until a trial of this matter. 3. The stipulating Defendants and Patrick Kiley have the right to seek relief. 4. The stipulating Defendants and Patrick Kiley shall produce to Plaintiffs documents related to the identification, location and amount of all Universal Brokerage FX client accounts. The parties shall agree to a mutually agreeable document production scheduled by the close of business on July 24, 2009. 5. Stipulating Defendants and Patrick Kiley shall not, directly or indirectly, transfer any assets to outside the U.S. 6. All other provisions of the July 7, 2009 and July 16, 2009 Temporary Restraining Orders <u>37</u> <u>38</u> shall be and hereby are dissolved. Plaintiff's Motions for a Temporary Restraining Order and Temporary Injunction and for Expedited Discovery <u>22</u> <u>42</u> are withdrawn as moot. Signed by Chief Judge Michael J. Davis on 7/24/09. (GRR) (Entered: 07/24/2009)
07/24/2009	<u>57</u>	Minute Entry for proceedings held before Chief Judge Michael J. Davis: Motion Hearing held on 7/24/2009 re <u>2</u> MOTION for Temporary InJunction Order filed by Leesa Coley, Timothy Coley, David Phillips, Joy Phillips, Judith G. Hale, Howard Phillips, Roxanna Holliker, Kenneth A. Hale, Sharon Phillips. Motion was granted pursuant to the stipulation filed by parties. An order to follow. (Court Reporter Lori Simpson) (mjds) (Entered: 07/24/2009)
07/24/2009	<u>56</u>	CERTIFICATE OF SERVICE by Trevor Cook re <u>54</u> Stipulation. (Thompson, John) Modified punctuation on 7/27/2009 (jz). (Entered: 07/24/2009)
07/24/2009	<u>55</u>	CERTIFICATE OF SERVICE by Trevor Cook re <u>50</u> Notice of Appearance. (Thompson, John) Modified punctuation on 7/27/2009 (jz). (Entered: 07/24/2009)
07/24/2009	<u>54</u>	STIPULATION by all parties. (Thompson, John) Modified filers on 7/27/2009 (jz). (Entered: 07/24/2009)
07/23/2009	<u>53</u>	NOTICE of Appearance by John R Neve on behalf of Oxford Global Partners. (Attachments: # <u>1</u> Certificate of Service)(Neve, John) (Entered: 07/23/2009)
07/23/2009	<u>52</u>	CERTIFICATE OF SERVICE by Oxford Private Client Group re <u>51</u> Memorandum in Opposition to Motion for Expedited Discovery. (Hibbard, Kathryn) Modified punctuation on 7/24/2009 (jz). (Entered: 07/23/2009)
07/23/2009	<u>51</u>	MEMORANDUM in Opposition re <u>42</u> MOTION to Expedite Discovery filed by Oxford Private Client Group. (Attachments: # <u>1</u> LR7.1 Word Count Compliance Certificate)(Hibbard, Kathryn) (Entered: 07/23/2009)
07/23/2009	<u>50</u>	NOTICE of Appearance by John D Thompson on behalf of Trevor Cook. (Thompson, John) (Entered: 07/23/2009)
07/23/2009	<u>49</u>	CERTIFICATE OF SERVICE by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale re <u>42</u> MOTION to Expedite Discovery, <u>46</u> Affidavit in Support of Motion, <u>45</u> Memorandum in Support of Motion, <u>43</u> Notice of Hearing on Motion. (Hamilton, Molly) Modified punctuation on 7/24/2009 (jz). (Entered: 07/23/2009)
07/22/2009	<u>48</u>	BRIEFING SCHEDULE ORDER. Defendants shall file any response to Plaintiffs Motion for Expedited Discovery (Doc. No. 42), on or before Thursday, July 23, 2009, at 5:00 p.m. Signed by Magistrate Judge Jeffrey J. Keyes on 07/22/2009. (NES) (Entered: 07/22/2009)
07/22/2009	<u>47</u>	CERTIFICATE OF SERVICE by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale re <u>42</u> MOTION to Expedite Discovery, <u>46</u> Affidavit in Support of Motion, <u>45</u> Memorandum in Support of Motion, <u>43</u> Notice of Hearing on Motion (Hamilton, Molly) (Entered: 07/22/2009)

07/22/2009	<u>46</u>	AFFIDAVIT of Molly R. Hamilton in SUPPORT OF <u>42</u> MOTION to Expedite <i>Discovery</i> filed by all plaintiffs. (Attachments: # <u>1</u> Exhibit(s) A)(Hamilton, Molly) (Entered: 07/22/2009)
07/22/2009	<u>45</u>	MEMORANDUM in Support re <u>42</u> MOTION to Expedite <i>Discovery</i> filed by all plaintiffs. (Attachments: # <u>1</u> LR7.1 Word Count Compliance Certificate)(Hamilton, Molly) (Entered: 07/22/2009)
07/22/2009	<u>44</u>	CERTIFICATE OF SERVICE by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale re <u>42</u> MOTION to Expedite <i>Discovery</i> , <u>43</u> Notice of Hearing on Motion (Hamilton, Molly) (Entered: 07/22/2009)
07/22/2009	<u>43</u>	NOTICE OF HEARING ON MOTION <u>42</u> MOTION to Expedite <i>Discovery</i> : Motion Hearing set for 7/24/2009 02:30 PM in Courtroom 6A (STP) before Magistrate Judge Jeffrey J. Keyes. (Hamilton, Molly) (Entered: 07/22/2009)
07/22/2009	<u>42</u>	MOTION to Expedite <i>Discovery</i> by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale. (Hamilton, Molly) (Entered: 07/22/2009)
07/22/2009	<u>41</u>	AFFIDAVIT of Service by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale re <u>38</u> Order on Motion for TRO, <u>3</u> Notice of Hearing on Motion, <u>37</u> Temporary Restraining Order, <u>4</u> Memorandum in Support of Motion, <u>28</u> Affidavit in Support of Motion, <u>5</u> Affidavit in Support of Motion, <u>26</u> Affidavit in Support of Motion, <u>24</u> Amended Complaint, <u>23</u> Notice (Other), <u>29</u> Affidavit in Support of Motion, <u>1</u> Complaint, <u>6</u> Affidavit in Support of Motion, <u>25</u> Memorandum in Support of Motion, <u>7</u> Exhibit, <u>27</u> Affidavit in Support of Motion. (Hamilton, Molly) Modified text on 7/23/2009 (jz). (Entered: 07/22/2009)
07/21/2009	<u>40</u>	NOTICE by Oxford Private Client Group re <u>32</u> MOTION to Withdraw as Attorney (Attachments: # <u>1</u> Certificate of Service)(Grant, Michelle) (Entered: 07/21/2009)
07/20/2009	<u>39</u>	NOTICE of Withdrawal as Attorney <i>and Substitution of Counsel</i> Oxford Private Client Group. (Attachments: # <u>1</u> Certificate of Service)(Hibbard, Kathryn) Modified text on 7/21/2009 (kt). (Entered: 07/20/2009)
07/16/2009	<u>38</u>	REDACTED ORDER granting <u>15</u> Motion for Ex Parte Temporary Retraining Order. The Court orders that the defendants be served a copy of this order by the parties, and the Court orders that a hearing on Plaintiffs' Motion for Temporary Injunction shall be heard on July 24, 2009 at 10:30 a.m. in the Minneapolis Federal Courthouse, Courtroom 15E. Signed by Judge Ann D. Montgomery on 7/16/09. (kmw) (Entered: 07/16/2009)
07/15/2009		TEXT ONLY ENTRY – Minute Entry for proceedings held before Judge Ann D. Montgomery: Motion Hearing held on 7/15/2009 re <u>22</u> MOTION for Temporary Restraining Order filed by Leesa Coley, Timothy Coley, David Phillips, Joy Phillips, Judith G. Hale, Howard Phillips, Roxanna Holliker, Kenneth A. Hale, Sharon Phillips. Motion taken under advisement. Order to issue. (Court Reporter Tim Willette) (LPH) (Entered: 07/16/2009)
07/15/2009	<u>36</u>	CERTIFICATE OF SERVICE by Oxford Private Client Group re <u>32</u> MOTION to Withdraw as Attorney, <u>34</u> Memorandum in Support of Motion, <u>33</u> Notice of Hearing on Motion, <u>35</u> Declaration in Support. (Grant, Michelle) (Entered: 07/15/2009)
07/15/2009	<u>35</u>	Declaration of Edward B. Magarian in Support of <u>32</u> MOTION to Withdraw as Attorney, <u>34</u> Memorandum in Support of Motion, <u>33</u> Notice of Hearing on Motion filed by Oxford Private Client Group. (Grant, Michelle) (Entered: 07/15/2009)
07/15/2009	<u>34</u>	MEMORANDUM in Support re <u>32</u> MOTION to Withdraw as Attorney filed by Oxford Private Client Group. (Grant, Michelle) (Entered: 07/15/2009)
07/15/2009	<u>33</u>	NOTICE OF HEARING ON MOTION <u>32</u> MOTION to Withdraw as Attorney : Motion Hearing set for 8/3/2009 04:00 PM in Courtroom 6A (STP) before Magistrate Judge Jeffrey J. Keyes. (Grant, Michelle) (Entered: 07/15/2009)

07/15/2009	<u>32</u>	MOTION to Withdraw as Attorney by Oxford Private Client Group. (Grant, Michelle) (Entered: 07/15/2009)
07/15/2009	<u>31</u>	CERTIFICATE OF SERVICE by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale re <u>30</u> Summons Returned Executed, <u>28</u> Affidavit in Support of Motion, <u>22</u> MOTION for Temporary Restraining Order, <u>26</u> Affidavit in Support of Motion, <u>24</u> Amended Complaint,, <u>23</u> Notice (Other), <u>29</u> Affidavit in Support of Motion, <u>25</u> Memorandum in Support of Motion, <u>27</u> Affidavit in Support of Motion. (Hamilton, Molly) (Entered: 07/15/2009)
07/15/2009	<u>30</u>	SUMMONS Returned Executed by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale. Trevor Cook served on 7/15/2009, answer due 8/4/2009; Oxford Global Partners served on 7/15/2009, answer due 8/4/2009. (Hamilton, Molly) (Entered: 07/15/2009)
07/15/2009	<u>29</u>	AFFIDAVIT of Timothy Coley in SUPPORT OF <u>22</u> MOTION for Temporary Restraining Order filed by all plaintiffs. (Attachments: # <u>1</u> Exhibit(s) A)(Hamilton, Molly) (Entered: 07/15/2009)
07/15/2009	<u>28</u>	AFFIDAVIT of Michael J. Podolsky in SUPPORT OF <u>22</u> MOTION for Temporary Restraining Order filed by all plaintiffs. (Hamilton, Molly) (Entered: 07/15/2009)
07/15/2009	<u>27</u>	AFFIDAVIT of Michael J. Podolsky in SUPPORT OF <u>22</u> MOTION for Temporary Restraining Order filed by all plaintiffs. (Hamilton, Molly) (Entered: 07/15/2009)
07/15/2009	<u>26</u>	AFFIDAVIT of Peter R. Silverman in SUPPORT OF <u>22</u> MOTION for Temporary Restraining Order filed by all plaintiffs. (Hamilton, Molly) (Entered: 07/15/2009)
07/15/2009	<u>25</u>	MEMORANDUM in Support re <u>22</u> MOTION for Temporary Restraining Order filed by all plaintiffs. (Hamilton, Molly) (Entered: 07/15/2009)
07/15/2009	<u>24</u>	AMENDED COMPLAINT <i>in Support of Additional Relief Under Ex Parte TRO</i> against Trevor Cook, Gerald Durand, Oxford Global Partners, Oxford Global Advisors, Oxford Private Client Group, UBS Diversified, UBS Diversified, Universal Brokerage FX, UBS Diversified FX Advisors, LLC, UBS Diversified FX Growth LP, UBS Diversified FX Management, LLC, UBS Diversified Growth, LLC, Universal Brokerage Services, LLC, UBS REFCO IFX Millennium RJO PRG, filed by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale. (Attachments: # <u>1</u> Exhibit(s) A-F and verifications) (Hamilton, Molly) (Entered: 07/15/2009)
07/15/2009	<u>23</u>	NOTICE by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale re <u>22</u> MOTION for Temporary Restraining Order. (Hamilton, Molly) (Entered: 07/15/2009)
07/15/2009	<u>22</u>	MOTION for Temporary Restraining Order by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale. (Hamilton, Molly) (Entered: 07/15/2009)
07/13/2009	<u>21</u>	Summons Returned Unexecuted by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale as to Trevor Cook, Gerald Durand, Oxford Global Partners, Oxford Global Advisors, Oxford Private Client Group, UBS Diversified, UBS Diversified, Universal Brokerage FX, UBS Diversified FX Advisors, LLC, UBS Diversified FX Growth LP, UBS Diversified FX Management, LLC, UBS Diversified Growth, LLC, Universal Brokerage Services, LLC, UBS REFCO IFX Millennium RJO PRG. (Hamilton, Molly) (Entered: 07/13/2009)
07/10/2009	<u>20</u>	Minute Entry for proceedings held before Chief Judge Michael J. Davis: Motion Hearing held on 7/10/2009. The Motion for Temporary Injunction <u>2</u> shall be continued to 7/24/09 at 10:30 a.m. in Courtroom 15E before Chief Judge Davis. (Court Reporter Lori Simpson) (kmw) (Entered: 07/10/2009)

07/10/2009	<u>19</u>	Summons Returned Unexecuted by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale as to Trevor Cook, Gerald Durand, Oxford Global Partners, Oxford Global Advisors, Oxford Private Client Group, UBSFX Diversified, UBS Diversified, Universal Brokerage FX, UBS Diversified FX Advisors, LLC, UBS Diversified FX Growth LP, UBS Diversified FX Management, LLC, UBS Diversified Growth, LLC, Universal Brokerage Services, LLC, UBS REFCO IFX Millennium RJO PRG. (Hamilton, Molly) (Entered: 07/10/2009)
07/10/2009	<u>18</u>	CERTIFICATE OF SERVICE by Oxford Private Client Group re <u>16</u> Memorandum, <u>17</u> Declaration in Opposition. (Grant, Michelle) Modified punctuation on 7/13/2009 (jz). (Entered: 07/10/2009)
07/10/2009	<u>17</u>	DECLARATION of Jason Bo Alan Beckman in Opposition to <u>2</u> Motion for Temporary Restraining Order and Temporary Injunction filed by Oxford Private Client Group. (Grant, Michelle) Modified text and link on 7/13/2009 (jz). (Entered: 07/10/2009)
07/10/2009	<u>16</u>	MEMORANDUM IN OPPOSITION to <u>2</u> MOTION for Temporary Restraining Order filed by Oxford Private Client Group. (Attachments: # <u>1</u> LR7.1 Word Count Compliance Certificate)(Grant, Michelle) Modified text and link on 7/13/2009 (jz). (Entered: 07/10/2009)
07/08/2009		TEXT ONLY ENTRY – ORDER granting <u>12</u> , <u>13</u> Amended Motions for Admission Pro Hac Vice of attorneys Peter R. Silverman and Michael J Podolsky for plaintiffs. Fees paid; receipt number 4–035869. Approved by Chief Judge Michael J. Davis on 7/8/09. (MMC) (Entered: 07/09/2009)
07/08/2009	<u>15</u>	AFFIDAVIT of Service by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale re <u>10</u> Order on Motion for TRO. (Hamilton, Molly) Modified punctuation on 7/9/2009 (jz). (Entered: 07/08/2009)
07/08/2009	<u>14</u>	AFFIDAVIT of Service by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale re <u>10</u> Order on Motion for TRO. (Hamilton, Molly) Modified text on 7/9/2009 (jz). (Entered: 07/08/2009)
07/08/2009	<u>13</u>	Amended MOTION for Admission Pro Hac Vice for Michael J. Podolsky by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale. (Harper, John) (Entered: 07/08/2009)
07/08/2009	<u>12</u>	Amended MOTION for Admission Pro Hac Vice for Peter R. Silverman by all plaintiffs. (Harper, John) Modified filers on 7/8/2009 (jam). (Entered: 07/08/2009)
07/07/2009	<u>37</u>	REDACTED EX PARTE TEMPORARY RESTRAINING ORDER. Signed by Chief Judge Michael J. Davis on 7/7/09. (kt) Modified text on 7/17/2009 (kt). UNREDACTED VERSION FILED UNDER SEAL AT CLERK'S OFFICE. (kt) (Entered: 07/16/2009)
07/07/2009	<u>11</u>	Minute Entry for proceedings held before Chief Judge Michael J. Davis: Motion Hearing held on 7/7/2009 re <u>8</u> MOTION for Admission Pro Hac Vice for Peter R. Silverman. Motion is Granted. <u>2</u> MOTION for Temporary Restraining Order filed by Plaintiffs was held on 7/7/09 and was Granted. Order to follow. (Court Reporter Lori Simpson) (kmw) (Entered: 07/07/2009)
07/07/2009	<u>10</u>	ORDER granting <u>2</u> Motion for Ex Parte Temporary Restraining Order. The Court orders that the defendants be served a copy of this order by the plaintiff, and the Court orders that a hearing on Plaintiffs' Motion for Temporary Injunction shall be heard on July 10, 2009 at 10:30 a.m. in the Minneapolis Federal Courthouse, Courtroom 15E. Signed by Chief Judge Michael J. Davis on 7/7/09. (kmw) Sealed at request of chambers. (kt) (Entered: 07/07/2009)
07/07/2009	<u>9</u>	DOCUMENT FILED IN ERROR – replaced by doc. #13. MOTION for Admission Pro Hac Vice for Michael J. Podolsky by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale. (RLR) Modified on 7/8/2009 (MMC).

		(Entered: 07/07/2009)
07/07/2009	<u>8</u>	DOCUMENT FILED IN ERROR – replaced by doc. #12. MOTION for Admission Pro Hac Vice for Peter R. Silverman by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale. (RLR) Modified on 7/8/2009 (MMC). (Entered: 07/07/2009)
07/07/2009	<u>7</u>	ATTORNEY CERTIFICATION of Efforts and Reasons why Notice should not be required re <u>1</u> Complaint, by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale. (Attachments: # <u>1</u> Exhibit A)(RLR) (Entered: 07/07/2009)
07/07/2009	<u>6</u>	AFFIDAVIT of Peter R. Silverman in SUPPORT OF <u>2</u> MOTION for Temporary Restraining Order filed by all plaintiffs. (RLR) (Entered: 07/07/2009)
07/07/2009	<u>5</u>	AFFIDAVIT of Michael J. Podolsky in SUPPORT OF <u>2</u> MOTION for Temporary Restraining Order filed by all plaintiffs. (RLR) (Entered: 07/07/2009)
07/07/2009	<u>4</u>	MEMORANDUM in Support re <u>2</u> MOTION for Temporary Restraining Order filed by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale. (RLR) (Entered: 07/07/2009)
07/07/2009	<u>3</u>	NOTICE OF HEARING ON MOTION re: Motion <u>2</u> for Temporary Restraining Order. (Date and Time to be determined) (RLR) (Entered: 07/07/2009)
07/07/2009	<u>2</u>	EX PARTE MOTION for Temporary Restraining Order and Temporary Injunction by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale. (RLR) (Entered: 07/07/2009)
07/07/2009	<u>1</u>	COMPLAINT against Trevor Cook, Gerald Durand, Oxford Global Partners, Oxford Global Advisors, Oxford Private Client Group, UBSX Diversified, UBS Diversified, Universal Brokerage FX, UBS Diversified FX Advisors, LLC, UBS Diversified FX Growth LP, UBS Diversified FX Management, LLC, UBS Diversified Growth, LLC, Universal Brokerage Services, LLC, UBS REFCO IFX Millennium RJO PRG (Filing fee \$ 350 receipt number 4035869.) assigned to Judge Michael J. Davis per Master list and referred to Magistrate Judge Jeffrey J. Keyes, filed by Judith G. Hale, Roxanna Holliker, Timothy Coley, Leesa Coley, Howard Phillips, Sharon Phillips, Joy Phillips, David Phillips, Kenneth A. Hale. (Attachments: # <u>1</u> Exhibits A–E, # <u>2</u> Civil Cover Sheet) (RLR) (Entered: 07/07/2009)